

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016Open to Public
Inspection**A** For the 2016 calendar year, or tax year beginning

and ending

B Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization**GREATER LOWELL COMMUNITY FOUNDATION, INC**

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

Room/suite

100 MERRIMACK STREET**202**

City or town, state or province, country, and ZIP or foreign postal code

LOWELL, MA 01852**F** Name and address of principal officer: **JAMES F. LINNEHAN****SAME AS C ABOVE****D** Employer identification number**04-3401997****E** Telephone number**(978) 970-1600****G** Gross receipts \$ **8,842,005.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

H(c) Group exemption number**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: **WWW.GLCFOUNDATION.ORG****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other**L** Year of formation: **1997** **M** State of legal domicile: **MA****Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: THE MISSION OF THE GREATER LOWELL COMMUNITY FOUNDATION IS TO IMPROVE THE QUALITY OF LIFE IN THE		
	2	Check this box <input type="checkbox"/> If the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	22
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	22
	5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	5
	6	Total number of volunteers (estimate if necessary)	6	44
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	6,054,829.	875,021.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0.	0.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,433,378.	460,120.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	0.	0.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	7,488,207.	1,335,141.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	1,483,474.	1,060,049.
Expenses	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	445,345.	392,699.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	0.	0.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	510,050.	536,025.
	19	Revenue less expenses. Subtract line 18 from line 12	2,438,869.	1,988,773.
	20	Total assets (Part X, line 16)	5,049,338.	-653,632.
	21	Total liabilities (Part X, line 26)	31,278,162.	31,702,199.
Net Assets or Fund Balances	22	Net assets or fund balances. Subtract line 21 from line 20	3,356,708.	3,294,905.
			27,921,454.	28,407,294.

COPY

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign
Here

Signature of officer

Date

5/2/17

JOSEPH BARTOLOTTA, PRESIDENT
Type or print name and titlePaid
Preparer
Use Only

Print/Type preparer's name

RICHARD B. DIONNE

Preparer's signature

RICHARD B. DIONNE

Date

04/07/17Check
if self-employed

PTIN

P00142882

Firm's EIN

04-2917204Firm's name **ANSTISS & CO., P.C.**
Firm's address **1115 WESTFORD STREET**
LOWELL, MA 01851Phone no. (978) **452-2500**☒ Yes ☐ No

May the IRS discuss this return with the preparer shown above? (see instructions)

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532001 11-11-16 LHA For Paperwork Reduction Act Notice, see the separate instructions.

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒

1 Briefly describe the organization's mission:

THE MISSION OF THE GREATER LOWELL COMMUNITY FOUNDATION IS TO IMPROVE THE QUALITY OF LIFE IN THE AREA. THE FOUNDATION IS A COMMUNITY RESOURCE, WHICH ATTRACTS FUNDS, DISTRIBUTES GRANTS, AND SERVES AS A CATALYST AND LEADER AMONG FUNDERS, AGENCIES AND INDIVIDUALS TO ADDRESS

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,626,499. including grants of \$ 1,060,049.) (Revenue \$)

ADDED 19 NEW FUNDS TO OUR ENDOWMENT FOR THE PURPOSE OF DISTRIBUTING GRANTS TO LOCAL NON-PROFIT AGENCIES AND SCHOLARSHIPS TO AREA STUDENTS IN ACCORDANCE WITH OUR MISSION TO IMPROVE THE QUALITY OF LIFE IN THE GREATER LOWELL COMMUNITY.

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 1,626,499.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X

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Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 25% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

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Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a 15		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	X	
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 5		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
b If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O	3b		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X
b If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X	
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	X	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8822?	7c		X
d If "Yes," indicate the number of Forms 8822 filed during the year	7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		X
9 Sponsoring organizations maintaining donor advised funds.			
a Did the sponsoring organization make any taxable distributions under section 4966?	9a		X
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		X
10 Section 501(c)(7) organizations. Enter:			
a Initiation fees and capital contributions included on Part VIII, line 12	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11 Section 501(c)(12) organizations. Enter:			
a Gross income from members or shareholders	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b		
c Enter the amount of reserves on hand	13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b		

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒ X

Section A. Governing Body and Management

	1a	1b	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	22			
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b Enter the number of voting members included in line 1a, above, who are independent		22		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?			3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?			4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?			5	X
6 Did the organization have members or stockholders?			6	X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?			7a	X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?			7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a The governing body?			8a	X
b Each committee with authority to act on behalf of the governing body?			8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O			9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization		X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		
16b		

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed **MA**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, address, and telephone number of the person who possesses the organization's books and records: **JAMES F. LINNEHAN, EXECUTIVE DIRECTOR - 978-970-1600**
100 MERRIMACK STREET, SUITE 202, LOWELL, MA 01852

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) STEVEN JONCAS CO-VICE PRESIDENT THRU JUNE 2016	5.00	X		X				0.	0.	0.
(2) JAMES C. SHANNON III, CPA TREASURER	5.00	X		X				0.	0.	0.
(3) BRIAN J. STAFFORD, CPA ASST. TREASURER THRU JUNE 2016	5.00	X		X				0.	0.	0.
(4) ATTY. ANNMARIE ROARK DIRECTOR	5.00	X						0.	0.	0.
(5) SUSANNE BEATON DIRECTOR	5.00	X						0.	0.	0.
(6) JOSEPH BARTOLOTTA PRESIDENT	5.00	X		X				0.	0.	0.
(7) JOHN P. CHEMALY DIRECTOR	5.00	X						0.	0.	0.
(8) DOROTHY CHEN-COURTIN, MBA, PH.D CLERK	5.00	X		X				0.	0.	0.
(9) SCOTT FLAGG DIRECTOR	5.00	X						0.	0.	0.
(10) KAREN FREDERICK CO-VICE PRESIDENT	5.00	X		X				0.	0.	0.
(11) JAMES D. NOLAN DIRECTOR	5.00	X						0.	0.	0.
(12) BRIAN L. CHAPMAN DIRECTOR	5.00	X						0.	0.	0.
(13) GLENN MELLO DIRECTOR	5.00	X						0.	0.	0.
(14) ANALISE SAAB DIRECTOR	5.00	X						0.	0.	0.
(15) ATTY. ANDREA S. BATCHELDER DIRECTOR	5.00	X						0.	0.	0.
(16) ATTY. MATTHEW C. DONAHUE DIRECTOR	5.00	X						0.	0.	0.
(17) MICHAEL KING ASSISTANT TREASURER	5.00	X		X				0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) LIANNA KUSHI DIRECTOR	5.00	X						0.	0.	0.
(19) PAUL F. MARION DIRECTOR THRU JUNE 2016	5.00	X						0.	0.	0.
(20) PATTI MASON DIRECTOR	5.00	X						0.	0.	0.
(21) CHESTER SZABLAK CO-VICE PRESIDENT	5.00	X		X				0.	0.	0.
(22) JENNIFER ARADHYA DIRECTOR	5.00	X						0.	0.	0.
(23) ANDREW MACEY DIRECTOR	5.00	X						0.	0.	0.
(24) MATT COGGINS DIRECTOR	5.00	X						0.	0.	0.
(25) CAROLYN ROLFE DIRECTOR	5.00	X						0.	0.	0.
(26) JAMES F. LINNEHAN, JR., ESQ. EXECUTIVE DIRECTOR	40.00			X				133,421.	0.	4,424.
1b Sub-total								133,421.	0.	4,424.
c Total from continuation sheets to Part VII, Section A								7,864.	0.	0.
d Total (add lines 1b and 1c)								141,285.	0.	4,424.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **1**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

SEE PART VII, SECTION A CONTINUATION SHEETS

Form 990 (2016)

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c	4,745.			
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	870,277.			
	g Noncash contributions included in lines 1a-1f: \$		78,200.			
	h Total. Add lines 1a-1f		875,022.			
	Program Service Revenue	Business Code				
2 a						
b						
c						
d						
e						
f All other program service revenue						
g Total. Add lines 2a-2f						
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		518,030.			518,030.
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6 a Gross rents	(i) Real (ii) Personal				
	b Less: rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
	b Less: cost or other basis and sales expenses					
	c Gain or (loss)					
	d Net gain or (loss)		-57,910.			-57,910.
	8 a Gross income from fundraising events (not including \$ 4,745. of contributions reported on line 1c). See Part IV, line 18	a	11,815.			
	b Less: direct expenses	b	18,638.			
	c Net income or (loss) from fundraising events		-6,823.			-6,823.
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities					
	10 a Gross sales of inventory, less returns and allowances	a				
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory					
Miscellaneous Revenue		Business Code				
11 a						
b						
c						
d All other revenue						
e Total. Add lines 11a-11d						
12 Total revenue. See instructions.		1,328,319.	0.	0.	453,297.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	830,716.	830,716.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	229,333.	229,333.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	148,207.	59,596.	15,020.	73,591.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	177,513.	108,474.	39,221.	29,818.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits	39,935.	20,367.	6,788.	12,780.
10 Payroll taxes	27,044.	13,792.	4,598.	8,654.
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	11,900.		11,900.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	165,622.	165,622.		
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	70,654.	66,716.	3,488.	450.
12 Advertising and promotion	20,051.	16,285.	2,005.	1,761.
13 Office expenses	18,671.	17,113.		1,558.
14 Information technology	2,892.	1,880.	145.	867.
15 Royalties				
16 Occupancy	42,037.	27,324.	2,102.	12,611.
17 Travel	3,326.	3,326.		
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	28,452.	24,425.	4,027.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	7,642.	4,585.	764.	2,293.
23 Insurance	5,681.	3,693.	284.	1,704.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a RESERVE ACCOUNT	100,000.		100,000.	
b PRINTING AND REPRODUCTI	11,096.	8,440.	554.	2,102.
c DUE AND SUBSCRIPTIONS	10,949.	9,427.	1,095.	427.
d LICENSES AND PERMITS	10,149.	6,597.	507.	3,045.
e All other expenses	20,080.	8,788.	1,789.	9,503.
25 Total functional expenses. Add lines 1 through 24e	1,981,950.	1,626,499.	194,287.	161,164.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ If following SOP 98-2 (ASC 958-720)

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	90,424.	1	441,750.
	2 Savings and temporary cash investments	465,437.	2	317,168.
	3 Pledges and grants receivable, net	111,847.	3	550.
	4 Accounts receivable, net		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	17,037.	9	15,391.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 79,431.		
	b Less: accumulated depreciation	10b 67,029.	10c	12,402.
	11 Investments - publicly traded securities	30,573,373.	11	30,914,938.
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11		15	
16 Total assets. Add lines 1 through 15 (must equal line 34)	31,278,162.	16	31,702,199.	
Liabilities	17 Accounts payable and accrued expenses	67,294.	17	33,782.
	18 Grants payable	89,400.	18	14,600.
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	3,200,014.	25	3,246,523.
	26 Total liabilities. Add lines 17 through 25	3,356,708.	26	3,294,905.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	24,476,907.	27	24,755,006.
	28 Temporarily restricted net assets	1,922,582.	28	2,088,626.
	29 Permanently restricted net assets	1,521,965.	29	1,563,662.
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	27,921,454.	33	28,407,294.
34 Total liabilities and net assets/fund balances	31,278,162.	34	31,702,199.	

Form 990 (2016)

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,328,319.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,981,950.
3	Revenue less expenses. Subtract line 2 from line 1	3	-653,631.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	27,921,454.
5	Net unrealized gains (losses) on investments	5	1,074,671.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	64,800.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	28,407,294.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

☐

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other		
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:			
<input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	X	
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:			
<input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis			
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	X	
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2016)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public
Inspection

Name of the organization

GREATER LOWELL COMMUNITY FOUNDATION, INC

Employer identification number

04-3401997

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 ☐ A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 ☐ A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 ☐ A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 ☐ An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: _____
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations _____

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	1230513.	1226150.	1481925.	961,625.	875,022.	5775235.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1230513.	1226150.	1481925.	961,625.	875,022.	5775235.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						318,783.
6 Public support. Subtract line 5 from line 4.						5456452.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	1230513.	1226150.	1481925.	961,625.	875,022.	5775235.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	591,705.	577,838.	599,184.	562,484.	518,030.	2849241.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11 Total support. Add lines 7 through 10						8624476.
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						► <input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	63.27 %
15 Public support percentage from 2015 Schedule A, Part II, line 14	15	64.09 %
16a 33 1/3% support test - 2016. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	► <input checked="" type="checkbox"/>	
b 33 1/3% support test - 2015. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	► <input type="checkbox"/>	
17a 10% -facts-and-circumstances test - 2016. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	► <input type="checkbox"/>	
b 10% -facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	► <input type="checkbox"/>	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	► <input type="checkbox"/>	

Schedule A (Form 990 or 990-EZ) 2016

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2015 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2015 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

Section E. Type III Functionally Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
2 Activities Test. Answer (a) and (b) below.		Yes	No
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.			
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.			
3 Parent of Supported Organizations. Answer (a) and (b) below.			
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.			
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.			

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

Schedule A (Form 990 or 990-EZ) 2016

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required- explain in Part VI). See instructions			
3 Excess distributions carryover, if any, to 2016:			
a			
b			
c From 2013			
d From 2014			
e From 2015			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2016 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions			
6 Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions			
7 Excess distributions carryover to 2017. Add lines 3j and 4c			
8 Breakdown of line 7:			
a			
b Excess from 2013			
c Excess from 2014			
d Excess from 2015			
e Excess from 2016			

Schedule A (Form 990 or 990-EZ) 2016

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Name of the organization

Employer identification number

GREATER LOWELL COMMUNITY FOUNDATION, INC

04-3401997

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☒ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

GREATER LOWELL COMMUNITY FOUNDATION, INC

04-3401997

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MRS. NANCY L. DONAHUE 52 BELMONT AVENUE LOWELL, MA 01852	\$ 20,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	BANK OF AMERICA C/O MIRIAM SHAW FUND 225 FRANKLIN ST BOSTON, MA 02110	\$ 47,011.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	VOTZE, BUTLER, & ASSOCIATES, INC. 44 STEDMAN ST. STE 8 LOWELL, MA 01852	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	ENTERPRISE BANK 222 MERRIMACK STREET LOWELL, MA 01852	\$ 43,180.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	MR. AND MRS. GEORGE L. DUNCAN 710 ANDOVER ST LOWELL, MA 01852	\$ 35,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	HENRY C & AUDRIENNE MURRAY FOUATION 1000 GRAND AVE PETOSKEY, MI 49770	\$ 19,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

GREATER LOWELL COMMUNITY FOUNDATION, INC

04-3401997

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	MR. AND MRS. MARTIN T. MEEHAN 28 JOHNSON RD ANDOVER, MA 01810	\$ 20,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	MR. DREW WEBER 200 E 74 ST NEW YORK, NY 10021	\$ 34,105.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	MR. JOHN BARKLEY 4 PARADISE LN HUDSON, NH 03076	\$ 18,961.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	NATIONAL ENDOWMENT OF ARTS 400 7TH SW WASHINGTON, DC 20506	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	MRS. JACQUELINE PARKS 22 AMBLE RD CHELMSFORD, MA 01824	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
12	RICHARD K. AND NANCY DONAHUE CHARITABLE 52 BELMONT AVENUE LOWELL, MA 01852	\$ 50,109.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

GREATER LOWELL COMMUNITY FOUNDATION, INC

04-3401997

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

GREATER LOWELL COMMUNITY FOUNDATION, INC

04-3401997

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public
Inspection

Name of the organization

GREATER LOWELL COMMUNITY FOUNDATION, INC

Employer identification number

04-3401997

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	55	
2 Aggregate value of contributions to (during year)	259,985.	
3 Aggregate value of grants from (during year)	352,728.	
4 Aggregate value at end of year	5,496,299.	
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1	▶ \$
(ii) Assets included in Form 990, Part X	▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1	▶ \$
b Assets included in Form 990, Part X	▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition
 b ☐ Scholarly research
 c ☐ Preservation for future generations
 d ☐ Loan or exchange programs
 e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	27,757,232.	24,312,184.	23,758,831.	20,861,828.	18,333,463.
b Contributions	346,324.	5,431,253.	962,662.	1,775,537.	1,702,901.
c Net investment earnings, gains, and losses	1,543,219.	-235,037.	1,168,630.	3,054,281.	2,126,535.
d Grants or scholarships	834,586.	1,150,808.	1,032,240.	1,335,353.	932,247.
e Other expenditures for facilities and programs	618,693.	600,360.	545,699.	597,462.	368,824.
f Administrative expenses					
g End of year balance	28,193,496.	27,757,232.	24,312,184.	23,758,831.	20,861,828.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ☒ 87.05 %
 b Permanent endowment ☒ 5.55 %
 c Temporarily restricted endowment ☒ 7.41 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
 (ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? ☐

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other		79,431.	67,029.	12,402.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				12,402.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) AGENCY ENDOWMENT	3,205,250.
(3) FISCAL AGENCY FUNDS	39,633.
(4) SPLIT INTEREST AGREEMENTS	1,640.
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	2,399,827.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	1,074,671.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	1,074,671.
3	Subtract line 2e from line 1	3	1,325,156.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	3,163.
c	Add lines 4a and 4b	4c	3,163.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	1,328,319.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	1,913,987.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	18,638.
e	Add lines 2a through 2d	2e	18,638.
3	Subtract line 2e from line 1	3	1,895,349.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	86,601.
c	Add lines 4a and 4b	4c	86,601.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	1,981,950.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART V, LINE 4:

TO DISTRIBUTE GRANTS TO AREA NON-PROFIT ORGANIZATIONS KEEPING IN LINE WITH THE FOUNDATION'S MISSION.

PART X, LINE 2:

THE ORGANIZATION, INCORPORATED UNDER CHAPTER 180 OF THE MASSACHUSETTS GENERAL LAWS AS A TAX EXEMPT ENTITY, HAS BEEN GRANTED TAX-EXEMPT STATUS UNDER INTERNAL REVENUE CODE (IRC) SECTION 501(C)(3) AND IS CLASSIFIED AS OTHER THAN A PRIVATE FOUNDATION AS DEFINED BY SECTION 509(A) OF THE IRC. THEREFORE, IT IS GENERALLY EXEMPT FROM FEDERAL AND STATE INCOME TAXES. ACCORDINGLY, NO PROVISION FOR INCOME TAXES IS PROVIDED FOR IN THE ACCOMPANYING FINANCIAL STATEMENTS. THE ORGANIZATION IS REQUIRED BY FASB

Part XIII Supplemental Information (continued)

ASC 740-10 TO EVALUATE AND DISCLOSE TAX POSITIONS THAT COULD HAVE AN EFFECT ON THE ORGANIZATION'S FINANCIAL STATEMENTS.

THE ORGANIZATION REPORTS ITS ACTIVITIES TO THE INTERNAL REVENUE SERVICE AND TO THE COMMONWEALTH OF MASSACHUSETTS ON AN ANNUAL BASIS. THESE INFORMATIONAL RETURNS ARE GENERALLY SUBJECT TO AUDIT AND REVIEW BY THE GOVERNMENTAL AGENCIES FOR A PERIOD OF THREE YEARS AFTER FILING.

SUBSTANTIALLY ALL OF THE ORGANIZATION'S INCOME, EXPENDITURES AND ACTIVITIES RELATE TO ITS EXEMPT PURPOSE. THEREFORE, MANAGEMENT HAS DETERMINED THAT THE ORGANIZATION IS NOT SUBJECT TO UNRELATED BUSINESS INCOME TAXES AND WILL CONTINUE TO QUALIFY AS A TAX EXEMPT NOT-FOR-PROFIT ENTITY.

PART XI, LINE 4B - OTHER ADJUSTMENTS:

CONTRIBUTIONS TO AGENCY ENDOWMENT FUNDS
FUNDRAISING EXPENSES

PART XII, LINE 2D - OTHER ADJUSTMENTS:

FUNDRAISING EXPENSES

PART XII, LINE 4B - OTHER ADJUSTMENTS:

GRANTS FROM AGENCY ENDOWMENT FUNDS

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

► Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization

GREATER LOWELL COMMUNITY FOUNDATION, INC

Employer identification number

04-3401997

Part I

Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a ☐ Mail solicitations
b ☐ Internet and email solicitations
c ☐ Phone solicitations
d ☐ In-person solicitations
e ☐ Solicitation of non-government grants
f ☐ Solicitation of government grants
g ☐ Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☐ Yes☐ No

- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total						

Total

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

	(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
	FIRST GIVING (event type)	(event type)	NONE (total number)	
Revenue				
1 Gross receipts	16,560.			16,560.
2 Less: Contributions	4,745.			4,745.
3 Gross income (line 1 minus line 2)	11,815.			11,815.
Direct Expenses				
4 Cash prizes				
5 Noncash prizes				
6 Rent/facility costs	11,815.			11,815.
7 Food and beverages				
8 Entertainment				
9 Other direct expenses	6,823.			6,823.
10 Direct expense summary. Add lines 4 through 9 in column (d)				18,638.
11 Net income summary. Subtract line 10 from line 3, column (d)				-6,823.

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

	(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue				
1 Gross revenue				
Direct Expenses				
2 Cash prizes				
3 Noncash prizes				
4 Rent/facility costs				
5 Other direct expenses				
6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
7 Direct expense summary. Add lines 2 through 5 in column (d)				
8 Net gaming income summary. Subtract line 7 from line 1, column (d)				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

- 11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13 Indicate the percentage of gaming activity conducted in:
- | | | |
|-------------------------------|-----|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► _____

Address ► _____

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?
- ☐
- Yes
- ☐
- No

b If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____

c If "Yes," enter name and address of the third party:

Name ► _____

Address ► _____

16 Gaming manager information:

Name ► _____

Gaming manager compensation ► \$ _____

Description of services provided ► _____

☐ Director/officer☐ Employee☐ Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions

Part IV Supplemental Information (continued)

Lined area for supplemental information.

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

► Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public
Inspection

Name of the organization

GREATER LOWELL COMMUNITY FOUNDATION, INC

Employer identification number
04-3401997

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN TEXTILE HISTORY MUSEUM 491 DUTTON STREET LOWELL, MA 01854	04-2276089	501(C)(3)	21,139.	0.			DONOR ADVISED DISTRIBUTION
NEW ENGLAND FORESTRY FOUNDATION, INC. - PO BOX 1346 - LITTLETON, MA 01460	04-2024022	501(C)(3)	10,328.	0.			DONOR ADVISED DISTRIBUTION
WHISTLER HOUSE MUSEUM OF ART 243 WORTHEN STREET LOWELL, MA 01852	04-2428837	501(C)(3)	21,973.	0.			DONOR ADVISED DISTRIBUTION / SUMMER ART PROGRAM
LOWELL CATHOLIC HIGH SCHOOL 530 STEVENS STREET LOWELL, MA 01851	04-2563657	501(C)(3)	86,682.	0.			EDUCATION
NEW ENGLAND QUILT MUSEUM 18 SHATTUCK STREET LOWELL, MA 01852	04-2971424	501(C)(3)	21,585.	0.			DONOR ADVISED DISTRIBUTION / GENERAL OPERATION
LOWELL HERITAGE PARTNERSHIP PO BOX 8744 LOWELL, MA 01853	20-0317624	501(C)(3)	5,670.	0.			GENERAL OPERATION

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table **31.**

3 Enter total number of other organizations listed in the line 1 table **5.**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LOWELL PARKS & CONSERVATION TRUST, INC - PO BOX 7162 - LOWELL, MA 01852	22-3070912	501(C)(3)	9,990.	0.			DONOR ADVISED DISTRIBUTION/GENERAL OPERATION
MERRIMACK REPERTORY THEATER INC 132 WARREN STREET LOWELL, MA 01852	04-2664784	501(C)(3)	36,273.	0.			DONOR ADVISED DISTRIBUTION
MERRIMACK VALLEY HOUSING PARTNERSHIP, INC - PO BOX 1042 - LOWELL, MA 01853	04-2950316	501(C)(3)	16,832.	0.			GENERAL OPERATIONS
CHALLENGE UNLIMITED, INC AT IRONSTONE FARM - 450 LOWELL ST - ANDOVER, MA 01810	22-2478997	501(C)(3)	7,800.	0.			DONOR ADVISED DISTRIBUTION / PONSORING A CHILD FOR A YEAR OF THERAPY
COMMUNITY TEAMWORK, INC 155 MERRIMACK ST 2ND FL LOWELL, MA 01852	04-2382027	501(C)(3)	15,940.	0.			DONOR ADVISED / GENERAL OPERATION
LOWELL HIGH SCHOOL 50 FR MORISSETTE BLVD LOWELL, MA 01852			8,563.	0.			SCHOLARSHIPS/DONOR ADVISED DISTRIBUTION/GENERAL SUPPORTS
UMASS LOWELL ONE UNIVERSITY AVE LOWELL, MA 01854			107,655.	0.			GENERAL SUPPORT/SCHOLARSHIPS
ACRE FAMILY CHILD CARE, INC. 55 MIDDLE ST 5TH FL STE 500 LOWELL, MA 01852	04-3036200	501(C)(3)	5,650.	0.			GENERAL OPERATION
CATHOLIC SCHOOLS FOUNDATION, INC. 67 BATTERYMARCH ST, 6TH FL BOSTON, MA 02110	22-2485502	501(C)(3)	8,000.	0.			EDUCATION

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COALITION FOR A BETTER ACRE, INC. 517 MOODY ST FL 3 LOWELL, MA 01824	04-2760272	501(C)(3)	25,951.	0.			DONOR ADVISED / TRAINING / GENERAL OPERATIONS
FRACTURED ATLAS PRODUCTIONS, INC. 248 W 35TH ST 10TH FL NEW YORK, NY 10001	11-3451703	501(C)(3)	6,000.	0.			STEPHEN SAVAGE PROJECT
LAURA LEE ALTERNATIVE SCHOOL 235 POWELL ST LOWELL, MA 01851			6,040.	0.			GENERAL OPERATION
OUR FATHER'S HOUSE, INC. 199 SUMMER ST FITCHBURG, MA 01420	22-2515061	501(C)(3)	6,666.	0.			ENSURING HEALTH WELLNESS IN HOMELESS CHILDREN
ALTERNATIVE HOUSE, INC PO BOX 2100 LOWELL, MA 01851	04-2661054	501(C)(3)	7,966.	0.			DONOR ADVISED DISTRIBUTION / EDUCATION
BOSTON PORTUGUESE FESTIVAL, INC ONE EXETER PL, 699 BOYLSTON ST, 7TH BOSTON, MA 02116	94-2287383		10,000.	0.			BOSTON PORTUGUESE FESTIVAL
DANA-FARBER CANCER INSTITUTE PO BOX 849168 BOSTON, MA 02284	04-2263040	501(C)(3)	10,000.	0.			IN HONOR OF JOANNE STONE
LOWELL COMMUNITY HEALTH CENTER, INC. - 161 JACKSON ST - LOWELL, MA 01852	04-2881348	501(C)(3)	12,500.	0.			DONOR ADVISED DISTRIBUTION / CELEBRATING THE LEGACY AND VISION OF DORCAS
RECREATIONAL ADULT RESOURCE ASSOCIATION OF GREATER LOWELL, INC. - 295 HIGH STREET - LOWELL, MA 01852	23-7102772	501(C)(3)	11,733.	0.			DONOR ADVISED DISTRIBUTION / GENERAL OPERATION

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) GREATER LOWELL COMMUNITY FOUNDATION, INC

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ST. VINCENT DEPAUL SOCIETY 18 CANTON STREET STOUGHTON, MA 02072	04-2104826	501(C)(3)	10,447.	0.			DONOR ADVISED DISTRIBUTION
THIRD SECTOR NEW ENGLAND, INC 89 SOUTH ST, SUITE 700 BOSTON, MA 02111	04-2261109	501(C)(3)	8,146.	0.			FOOD FOR SENIORS
LOWELL PUBLIC SCHOOLS 43 HIGHLAND ST LOWELL, MA 01852			5,430.	0.			GENERAL SUPPORT
MAB COMMUNITY SERVICES 200 IVY ST BROOKLINE, MA 02446	04-2109859	501(C)(3)	9,704.	0.			ELDERS PROGRAM AND SUPPORT MARATHON
MUSIC & YOUTH INITIATIVE 733 TURNPIKE ST NORTH ANDOVER, MA 01845	20-2767066	501(C)(3)	10,000.	0.			DONOR ADVISED DISTRIBUTION
THE UMBRELLA COMMUNITY ARTS CENTER 40 STOW ST CONCORD, MA 01742	04-2761964	501(C)(3)	6,000.	0.			ENVIRONMENTAL AND ARTS
PROJECT LEARN 222 MERRIMACK ST LOWELL, MA 01852	46-4885366	501(C)(3)	36,326.	0.			DONOR ADVISED DISTRIBUTION
LOWELL TRANSITIONAL LIVING CENTER, INC - 205-209 MIDDLESEX ST - LOWELL, MA 01852	04-2933012	501(C)(3)	6,500.	0.			DONOR ADVISED DISTRIBUTION
SALVATION ARMY 150 APPLETON ST LOWELL, MA 01852	13-5562351	501(C)(3)	15,000.	0.			DONOR ADVISED DISTRIBUTION

Schedule I (Form 990)

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
SCHOLARSHIPS FOR TUITION	250	229,333.	0.		

Part IV

Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

PART I, LINE 2:

CAPACITY GRANTS, WATER RESOURCES GRANTS AND ELDERLY GRANTS ALL HAVE A FINAL REPORT THAT IS DUE THE FOLLOWING YEAR. ALL OTHER GRANTS WE DO NOT HAVE A SPECIFIC FINAL REPORT DUE.

PART III, COLUMN B

SCHOLARSHIPS REQUIRE PROOF OF ENROLLMENT OR PROOF OF DEPOSIT BEFORE THE FUNDS ARE RELEASED.

SCHEDULE M
(Form 990)

Noncash Contributions

OMB No. 1545-0047

2016

Open To Public
Inspection

Department of the Treasury
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶ Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

GREATER LOWELL COMMUNITY FOUNDATION, INC

Employer identification number

04-3401997

Part I **Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	3	67,444.	
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (<u>ADVERTISING</u>)	X	1	10,000.COST	
26 Other ▶ (<u>WEBSITE MAINT</u>)	X	1	528.COST	
27 Other ▶ (<u>OTHER</u>)	X	1	228.COST	
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions
for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it
must hold for at least three years from the date of the initial contribution, and which isn't required to be used for
exempt purposes for the entire holding period?

	Yes	No
30a		X
31		X
32a		X
33		

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash
contributions?

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked,
describe in Part II.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2016)

Part II

Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Lined area for supplemental information.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public
Inspection

Name of the organization

GREATER LOWELL COMMUNITY FOUNDATION, INC

Employer identification number

04-3401997

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AREA. THE FOUNDATION IS A COMMUNITY RESOURCE, WHICH ATTRACTS FUNDS, DISTRIBUTES GRANTS, AND SERVES AS A CATALYST AND LEADER AMONG FUNDERS, AGENCIES AND INDIVIDUALS TO ADDRESS IDENTIFIED AND EMERGENT NEEDS. THE COMMUNITY FOUNDATION IS A PROFESSIONAL, COMPASSIONATE STEWARD OF DONOR FUNDS AND BUILDS UPON THE CREATIVE VISION OF ITS FOUNDERS AND THE COMMUNITY. IT PROMOTES AND ENCOURAGES THE ROLE OF PHILANTHROPY IN IMPROVING THE QUALITY OF LIFE IN THE COMMUNITIES IT SERVES.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

IDENTIFIED AND EMERGENT NEEDS. THE COMMUNITY FOUNDATION IS A PROFESSIONAL, COMPASSIONATE STEWARD OF DONOR FUNDS AND BUILDS UPON THE CREATIVE VISION OF ITS FOUNDERS AND THE COMMUNITY. IT PROMOTES AND ENCOURAGES THE ROLE OF PHILANTHROPY IN IMPROVING THE QUALITY OF LIFE IN THE COMMUNITIES IT SERVES.

FORM 990, PART VI, SECTION A, LINE 4:

THE FOUNDATION AMENDED ITS BY-LAWS TO ADD DEVELOPMENT COMMITTEE POSITION.

FORM 990, PART VI, SECTION B, LINE 11B:

THE DRAFT FORM 990 IS DISTRIBUTED TO THE AUDIT COMMITTEE FOR REVIEW AND THEN DISTRIBUTED TO THE ENTIRE BOARD FOR COMMENT AND REVIEW PRIOR TO FILING.

FORM 990, PART VI, SECTION B, LINE 12C:

ANNUALLY THE POLICY IS REVIEWED AND ACKNOWLEDGED BY APPLICABLE PARTIES.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2016)

Name of the organization

GREATER LOWELL COMMUNITY FOUNDATION, INC

Employer identification number

04-3401997

FORM 990, PART VI, SECTION B, LINE 15A:

THE BOARD SETS THE COMPENSATION RANGE FOR THE EXECUTIVE DIRECTOR.

FORM 990, PART VI, SECTION C, LINE 19:

THE FOUNDATION HAS A WRITTEN AND APPROVED CONFLICT OF INTEREST POLICY
WHICH, ALONG WITH ITS FORM 990 AND FORM 1023, IS AVAILABLE BY REQUEST ONLY.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

NET AGENCY ENDOWMENT FUND CONTRIBUTIONS 64,801.

THE COMMONWEALTH OF MASSACHUSETTS
OFFICE OF THE ATTORNEY GENERAL
NON-PROFIT ORGANIZATIONS/PUBLIC CHARITIES DIVISION
ONE ASHBURTON PLACE
BOSTON, MASSACHUSETTS 02108

(617) 727-2200, ext. 2101
www.mass.gov/ago/charities

Form PC

Report for the Fiscal Period: 01/01/16 to 12/31/16Attorney General's Account #: 037756Federal ID #: 04-3401997

Electronic Payment Confirmation #: _____

When did the organization first engage in charitable work in Massachusetts?

05/23/1997

Has the organization applied for or been granted IRS tax exempt status?

☒ Yes ☐ No

If yes, date of application OR date of determination letter:

07/02/1998

IRS Exemption under 501(c):

3

If exempt under 501(c), are contributions to the organization tax deductible as charitable contributions?

☒ Yes ☐ No

Check all items attached (if applicable)

- ☒ Filing Fee or Printout of Electronic Payment Confirmation
- ☒ Copy of IRS Return
- ☒ Audited Financial Statements/Review
- ☒ Amended Articles/By-Laws
- ☒ Schedule A-1
- ☒ Schedule A-2
- ☐ Schedule RO
- ☐ Schedule VCO
- ☐ Probate Account

Organization Data

Name: GREATER LOWELL COMMUNITY FOUNDATION, INCMailing Address: 100 MERRIMACK STREET, NO. 202City: LOWELL State: MA ZIP: 01852Phone Number: (978) 970-1600 Fax Number: 978-970-2444Email: JAY@GLCFFOUNDATION.ORG Website: WWW.GLCFOUNDATION.ORG

In the table below, please enter the appropriate codes from the corresponding tables found in the instructions.
 Enter up to 2 codes from Table 3 for your organization's main purpose(s)

Category	Code	Category	Code
County (Table 1)	9	Organization Purpose Code 1	30
Type of Organization (Table 2)	20	Organization Purpose Code 2	

Please check box if final return prior to dissolution: ☐

Office Use Only: Payment Received

All questions must be completed in their entirety whether or not similar questions are answered in an attached federal form. See instructions and definition section for guidance.

1. On what date was the organization created? 05/23/1997

2. Where was the organization created? LOWELL, MA

3. What is the form of organization? (check one)

Corporation <input checked="" type="checkbox"/>	Testamentary Trust <input type="checkbox"/>
Unincorporated Association <input type="checkbox"/>	Inter Vivos Trust <input type="checkbox"/>

Other (please describe): _____

4. Was your organization related to any other organization(s) during the reporting year (see definition of "Related Organization")? If yes, please complete the Schedule RO on pages 13 and 14. ☐ Yes ☒ No

5. Enter your summary of financial data:

	Financial Data	Amounts
A.	Contributions, gifts, grants, and similar amounts received	875,022.
B.	Gross support and revenue	1,386,229.
C.	Program services and similar amounts paid out	1,626,499.
D.	Fundraising expenses	161,164.
E.	Management and general expenses	194,287.
F.	Payments to affiliates	0.
G.	Total expenses	1,981,950.
H.	Net assets or fund balances at the end of the year	28,407,294.

6. List the total compensation you provided to your five highest paid employees:

	Name/Title	Hrs/Week	Salary and Other Income	Benefit Plans	Other Compensation
1.	JANINNE NOCCO ADMINISTRATIVE OFFICER	40.00	66,498.	0.	27,219.
2.	GEORGE NUGENT DEPUTY EXECUTIVE DIRECTOR	40.00	68,733.	0.	10,927.
3.	MARIA PALERMO RECEPTIONIST	40.00	42,282.	0.	12,946.
4.	JAMES F. LINNEHAN, JR., ESQ. EXECUTIVE DIRECTOR	40.00	137,498.	0.	2,845.
5.	ANDREA SHAPIRO INTERIM ED	40.00	7,864.	0.	0.

7. Was any compensation provided to any of the individuals listed in question 6 above which was not quantified in your response to 6? If yes, please provide explanation (attach separate sheet). ☐ Yes ☒ No

8. List the name, amount of compensation paid, and the nature of services rendered by each of the organization's five highest paid consultants providing professional services (e.g. attorneys, architects, accountants, management companies, investment advisors, professional solicitors, professional fundraising counsel).

	Name/Title	Amount of Compensation	Type(s) of Service
1.	UTASEY, INC.	44,000.	DESIGN SERVICES
2.	ZEN ASSOCIATES, INC	9,993.	DESIGN SERVICES
3.	ANSTISS & CO.	11,900.	AUDIT & TAX SERVICES
4.	MEERKAT TECHNOLOGY, INC	4,400.	IT SERVICES
5.	MR. FRED FAUST	2,000.	MANAGEMENT CONSULTING

9. Bank(s) in which the organization's funds are deposited (include bank addresses and phone number):

Bank	Address	Phone Number
SEE STATEMENT 1		

10. What is the organization's accounting method? ☐ Cash ☒ Accrual

☐ Other (specify): _____

11. If organization's mailing address is a P.O. Box, list the organization's full street address:

Address: _____

City: _____ State: _____ ZIP Code: _____

12. Contact Person Name: JAMES F. LINNEHAN, JR., ESQ.

Street Address: 100 MERRIMACK ST

City: LOWELL State: MA ZIP Code: 01852

Phone Number: 978-970-1600

13. During the fiscal year reported here, did your organization solicit contributions or have funds solicited on its behalf?

☒ Yes ☐ No

14. At any time during the fiscal year following the year reported here, will your organization, or others acting on its behalf, solicit contributions?

☒ Yes ☐ No

If you answered yes to Question 13 or 14, you must complete Schedule A-1 and/or Schedule A-2 unless you are exempt from the solicitation certificate requirement.

15. If you are claiming an exemption from the solicitation certificate requirement, please indicate by checking the box to the right to identify which exemption applies to your organization.

a religious organization	<input type="checkbox"/>
an organization which: (a) does not raise more than \$5,000 during a calendar year Or does not receive contributions from more than ten persons during a calendar year; AND (b) carries out all of its activities, including fundraising, through unpaid volunteers. (The conditions at both (a) and (b) must be met for your organization to qualify for this exemption.)	<input type="checkbox"/>

16. Attach a list of names, addresses (street and/or mailing), and telephone numbers of other offices/chapters/branches/affiliates.
17. Attach a list of names, titles, and addresses (street and/or mailing) of officers, directors, trustees, and the principal salaried executives of organization.

STATEMENT 2

18. Attach a list of names, titles, and addresses (street and/or mailing) of any individual(s) authorized to sign checks, and any individual(s) responsible for: custody of funds; distribution of funds; fundraising; and custody of financial records.

STATEMENT 3

19. Has this organization or any of its officers, directors, employees or fundraisers solicited funds in any other state?

☐ Yes ☒ No

If you attach list of states where solicitation was conducted, including registered agency, dates of registration, registration numbers, any other names under which the organization was/is registered, and the dates and type (mail, telephone, door to door, special events, etc.) of the solicitation conducted.

20. Has this organization or any of its officers, directors, or employees:

If yes, please attach an explanation.

(a) Been enjoined or otherwise prohibited by a government agency/court from operating or soliciting contributions?

☐ Yes ☒ No

(b) Ever been refused registration or had its registration or tax exemption denied, suspended, modified or revoked by a governmental agency?

☐ Yes ☒ No

(c) Been the subject of a proceeding regarding any solicitation or registration?

☐ Yes ☒ No

(d) Entered into a voluntary agreement of compliance or consent judgment with any government agency or in a case before a court or administrative agency?

☐ Yes ☒ No

21. Have any restrictions been removed during the year from donor-restricted funds?

If yes, please attach an explanation.

☐ Yes ☒ No

22. Have donor-restricted funds been loaned to unrestricted funds?

If yes, please attach an explanation.

☐ Yes ☒ No

23. This question involves "Termination of Employment or Changes of Control Compensatory Arrangements" with certain "Related Parties" (see instructions and definition sections). Report only if payments made or promised to any individual are in excess of four months salary or \$100,000, whichever dollar amount is less.

(a) Did you make actual payments or otherwise transfer value under such an arrangement to any individual described in Related Party definition, sections (a) or (b), which payments are not reported in Question 6 or 7 above?

☐ Yes ☒ No

(b) Do you have an agreement with any individual described in Related Party definition, sections (a) or (b), containing such an agreement?

☐ Yes ☒ No

If you answered yes for Question 23(a) or 23(b) above, please attach an explanation identifying the individual(s) involved, stating the amount of any payments made or value transferred, and describing the terms of each agreement.

FORM PC	BANK IN WHICH FUNDS ARE DEPOSITED	STATEMENT	1
NAME AND ADDRESS	PHONE NUMBER		
MOORS & CABOT 111 DEVONSHIRE STREET BOSTON, MA 02109	886-733-9074		
ENTERPRISE BANK & TRUST 222 MERRIMACK STREET LOWELL, MA 01852	978-459-9000		
RBC WEALTH MANAGEMENT 25 BURLINGTON MALL ROAD BOSTON, MA 02108	781-270-2200		
LOWELL 5 CENTS SAVINGS BANK 34 JOHN STREET LOWELL, MA 01852	978-452-1300		
WASHINGTON SAVINGS BANK 30 MIDDLESEX STREET LOWELL, MA 01852	978-458-7999		
JEANNE D'ARC CREDIT UNION 558 MERRIMACK STREET LOWELL, MA 01852	978-452-5001		
SAGE BANK 3 HURD STREET LOWELL, MA 01852	978-458-4598		
EASTERN BANK 505 BROADWAY SAUGUS, MA 01906	617-897-1160		
EATON VANCE TWO INTERNATIONAL PLACE BOSTON, MA 02110	617-482-8260		

FORM PC	OFFICERS, DIRECTORS, TRUSTEES AND EXECUTIVES	STATEMENT	2
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<u>NAME AND ADDRESS</u>	<u>TITLE</u>
JAMES F. LINNEHAN, JR., ESQ. 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	EXECUTIVE DIRECTOR
ANDREA J SHAPIRO 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	INTERIM EXECUTIVE DIRECTOR
STEVEN JONCAS 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	CO-VICE PRESIDENT THRU JUNE
JAMES C. SHANNON III, CPA 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	TREASURER
BRIAN J. STAFFORD, CPA 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	ASST.TREASURER THRU JUNE 201
ATTY. ANNMARIE ROARK 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
SUSANNE BEATON 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
JOSEPH BARTOLOTTA 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	PRESIDENT
JOHN P. CHEMALY 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
DOROTHY CHEN-COURTIN, MBA, PH.D. 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	CLERK
SCOTT FLAGG 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
KAREN FREDERICK 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	CO-VICE PRESIDENT

JAMES D. NOLAN 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
BRIAN L. CHAPMAN 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
GLENN MELLO 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
ANALISE SAAB 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
ATTY. ANDREA S. BATCHELDER 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
ATTY. MATTHEW C. DONAHUE 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
MICHAEL KING 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	ASSISTANT TREASURER
LIANNA KUSHI 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
PAUL F. MARION 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR THRU JUNE 2016
PATTI MASON 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
CHESTER SZABLAK 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	CO-VICE PRESIDENT
JENNIFER ARADHYA 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
ANDREW MACEY 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR
MATT COGGINS 100 MERRIMACK STREET, NO. 202 LOWELL, MA 01852	DIRECTOR

CAROLYN ROLFE
100 MERRIMACK STREET, NO. 202
LOWELL, MA 01852

DIRECTOR

FORM PC PAGE 4, LINE 18 STATEMENT 3

NAME AND ADDRESS

AREA OF RESPONSIBILITY

JAMES F. LINNEHAN, JR.
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR CUSTODY OF FUNDS

JAMES F. LINNEHAN, JR.
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR DISTRIBUTION OF FUNDS

JAMES F. LINNEHAN, JR.
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR FUNDRAISING

JAMES F. LINNEHAN, JR.
100 MERRIMACK STREET
LOWELL, MA 01852

CUSTODY OF FINANCIAL RECORDS

JAMES F. LINNEHAN, JR.
100 MERRIMACK STREET
LOWELL, MA 01852

AUTHORIZED TO SIGN CHECKS

JAMES C. SHANNON
100 MERRIMACK STREET
LOWELL, MA 01852

AUTHORIZED TO SIGN CHECKS

MICHAEL KING
100 MERRIMACK STREET
LOWELL, MA 01852

AUTHORIZED TO SIGN CHECKS

CHESTER SZABLAK
100 MERRIMACK STREET
LOWELL, MA 01852

AUTHORIZED TO SIGN CHECKS

JOSEPH BARTOLOTTA
100 MERRIMACK STREET
LOWELL, MA 01852

AUTHORIZED TO SIGN CHECKS

JOSEPH BARTOLOTTA
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR CUSTODY OF FUNDS

CHESTER SZABLAK
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR CUSTODY OF FUNDS

KAREN FREDERICK
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR CUSTODY OF FUNDS

JAMES C. SHANNON
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR CUSTODY OF FUNDS

MICHAEL KING
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR CUSTODY OF FUNDS

JOSEPH BARTOLOTTA
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR DISTRIBUTION OF FUNDS

CHESTER SZABLAK
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR DISTRIBUTION OF FUNDS

KAREN FREDERICK
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR DISTRIBUTION OF FUNDS

JAMES C. SHANNON
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR DISTRIBUTION OF FUNDS

MICHAEL KING
100 MERRIMACK STREET
LOWELL, MA 01852

RESPONSIBLE FOR DISTRIBUTION OF FUNDS

KAREN FREDERICK
100 MERRIMACK STREET
LOWELL, MA 01852

AUTHORIZED TO SIGN CHECKS

24. This question applies to related party transactions, which include transactions with officers, directors, trustees, certain employees, relative, and organizations they own or control. Please consult the instructions and definition sections for the definition of a "Related Party" and "Indebtedness" before answering. Note that transactions involving related parties must be reported even when there is no accounting recognition (e.g. in-kind gifts, waiver of interest not otherwise reported).

If the answer to any part of Question 24 is yes, attach a schedule stating the name and address of the related party, the nature of the transaction, the value or the amounts involved in the transaction, and the procedure followed in authorizing the transaction.

During the year:			
A.	Has your organization sold or transferred assets to or purchased assets from or exchanged assets with a related party?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
B.	Has your organization leased assets to or leased assets from a related party?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
C.	Has your organization been indebted to a related party?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
D.	Has your organization allowed a related party to be indebted to it?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
E.	Has your organization made or held an investment in a related party?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
F.	Has your organization furnished goods, services, or facilities to a related party?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
G.	Has your organization acquired goods, services, or facilities from a related party who received compensation or other value in return?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
H.	Has your organization paid or became obligated to pay wages, salary, or other compensation to a related party?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
I.	Has your organization transferred income or assets to or for use by a related party?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
J.	Was your organization a party to any transaction in which any of its officers, directors, or trustees has a material financial interest, or did any officer, director or trustee receive anything of value not reported as compensation?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
K.	Has your organization invested in any corporate stock of a company in which any officer, director, or trustee owns more than 10% of the outstanding shares?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
L.	Is any property of the organization held in the name of or commingled with the property of any other person or organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
M.	Did your organization make a grant award or contribution to any other organization in which any of this organization's officers, directors or trustees has a relationship?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

STATEMENT 4

FORM PC

PAGE 6, LINE 24

STATEMENT 4

NAME AND ADDRESS

ANALISE SAAB
100 MERRIMACK STREET
LOWELL, MA 01852

NATURE OF TRANSACTION

ALSO A BOARD MEMBER OF ORGANIZATION THAT RECEIVED GRANTS
FROM THE FOUNDATION

AMOUNT INVOLVED

15,940.

PROCEDURE FOLLOWED

RECUSED FROM VOTING ON GRANT AWARDS TO RELATED ORGANIZATIONS

NAME AND ADDRESS

KAREN FREDERICK
100 MERRIMACK STREET
LOWELL, MA 01852

NATURE OF TRANSACTION

ALSO A BOARD MEMBER OF ORGANIZATION THAT RECEIVED GRANTS
FROM THE FOUNDATION

AMOUNT INVOLVED

15,940.

PROCEDURE FOLLOWED

RECUSED FROM VOTING ON GRANTS TO RELATED ORGANIZATIONS

NAME AND ADDRESS

STEVEN JONCAS
100 MERRIMACK STREET
LOWELL, MA 01852

NATURE OF TRANSACTION

ALSO A BOARD MEMBER OF ORGANIZATION THAT RECEIVED GRANTS
FROM THE FOUNDATION

AMOUNT INVOLVED

28,440.

PROCEDURE FOLLOWED

RECUSED FROM VOTING ON GRANTS TO RELATED ORGANIZATIONS

NAME AND ADDRESS

SUE BEATON
100 MERRIMACK STREET
LOWELL, MA 01852

NATURE OF TRANSACTION

ALSO A BOARD MEMBER OF ORGANIZATION THAT RECEIVED GRANTS
FROM THE FOUNDATION

AMOUNT INVOLVED

12,500.

PROCEDURE FOLLOWED

RECUSED FROM VOTING ON GRANTS TO RELATED ORGANIZATIONS

NAME AND ADDRESS

JAMES F. LINNEHAN, JR., ESQ.
100 MERRIMACK STREET
LOWELL, MA 01852

NATURE OF TRANSACTION

WAGES AND BENEFITS PAID TO ED, APPROVED BY THE BOARD OF
DIRECTORS

AMOUNT INVOLVED

137,845.

PROCEDURE FOLLOWEDNAME AND ADDRESS

ANDREA SHAPIRO
100 MERRIMACK STREET
LOWELL, MA 01852

NATURE OF TRANSACTION

WAGES AND BENEFITS PAID TO INTERIM ED, APPROVED BY THE BOARD
OF DIRECTORS

AMOUNT INVOLVED

7,864.

PROCEDURE FOLLOWED

Signature Required

Under penalty of perjury, I declare that the information furnished in this report, including all attachments, is true and correct to the best of my knowledge.

Signature: 

Date: 5/2/17

Printed Name: JOSEPH BARTOLOTTA

Title: PRESIDENT

Name of Preparer: ANSTISS & CO., P.C.

Address 1115 WESTFORD STREET

City LOWELL

State MA

ZIP Code 01851

Phone Number (978) 452-2500

Schedule A-1

Solicitation Activities During Fiscal Year Covered By This Report

List any names which will be used by the organization in connection with the solicitation of funds, other than the official name which appears on page 1.

NONE

Types of solicitation activities in which you expect to engage (check all that apply):

Mass Mailing	<input checked="" type="checkbox"/>	Via the Internet	<input checked="" type="checkbox"/>
Door-to-door	<input type="checkbox"/>	Raffle, beano, bingo or gaming event	<input type="checkbox"/>
Entertainment event	<input checked="" type="checkbox"/>	Sale of goods other than by telephone	<input type="checkbox"/>
Telemarketing without sale of goods or ads	<input type="checkbox"/>	Individual Mailings	<input checked="" type="checkbox"/>
Telemarketing with sale of goods	<input type="checkbox"/>	Corporate solicitations	<input checked="" type="checkbox"/>
Telemarketing with sale of ads	<input type="checkbox"/>	Grant Proposals	<input checked="" type="checkbox"/>

☒ Other (specify): DIRECT CONTACT

Identify the method or methods you expect to use for the fundraising (check all that apply):

Professional solicitor*	<input type="checkbox"/>	Own employees	<input checked="" type="checkbox"/>
Professional fundraising counsel*	<input type="checkbox"/>	Volunteers	<input checked="" type="checkbox"/>
Commercial co-venturer*	<input type="checkbox"/>		

* Provide applicable names and addresses:

Professional Solicitor Name: _____

Address _____

City _____ State _____ ZIP Code _____

Professional Fundraising Counsel Name: _____

Address _____

City _____ State _____ ZIP Code _____

Commercial Co-Venturer Name: _____

Address _____

City _____ State _____ ZIP Code _____

Solicitation Activities During Fiscal Year Covered By This Report

Identify the individuals who will have final responsibility for the charity's custody of contributions:

JAMES F. LINNEHAN, JR., ESQ.

Name and Title: EXECUTIVE DIRECTORAddress 100 MERRIMACK STREETCity LOWELLState MAZIP Code 01852

Name and Title: _____

Address _____

City _____

State _____

ZIP Code _____

Name and Title: _____

Address _____

City _____

State _____

ZIP Code _____

Identify the individuals who will have final responsibility for the charity's distribution of contributions:

ENTIRE BOARD OF DIRECTORS

Name and Title: SEE STATEMENT 3Address 100 MERRIMACK STREETCity LOWELLState MAZIP Code 01852

Name and Title: _____

Address _____

City _____

State _____

ZIP Code _____

Name and Title: _____

Address _____

City _____

State _____

ZIP Code _____

Schedule A-2

Solicitation Activities Planned for Fiscal Year Which Follows the Reporting Year

List any names which will be used by the organization in connection with the solicitation of funds, other than the official name which appears on page 1.

NONE

Types of solicitation activities in which you expect to engage (check all that apply):

Mass Mailing	<input checked="" type="checkbox"/>	Via the Internet	<input checked="" type="checkbox"/>
Door-to-door	<input type="checkbox"/>	Raffle, beano, bingo or gaming event	<input type="checkbox"/>
Entertainment event	<input checked="" type="checkbox"/>	Sale of goods other than by telephone	<input type="checkbox"/>
Telemarketing without sale of goods or ads	<input type="checkbox"/>	Individual Mailings	<input checked="" type="checkbox"/>
Telemarketing with sale of goods	<input type="checkbox"/>	Corporate solicitations	<input checked="" type="checkbox"/>
Telemarketing with sale of ads	<input type="checkbox"/>	Grant Proposals	<input checked="" type="checkbox"/>

☒ Other (specify): DIRECT CONTACT

Identify the method or methods you expect to use for the fundraising (check all that apply):

Professional solicitor*	<input type="checkbox"/>	Own employees	<input checked="" type="checkbox"/>
Professional fundraising counsel*	<input type="checkbox"/>	Volunteers	<input checked="" type="checkbox"/>
Commercial co-venturer*	<input type="checkbox"/>		

* Provide applicable names and addresses:

Professional Solicitor Name: _____

Address _____

City _____ State _____ ZIP Code _____

Professional Fundraising Counsel Name: _____

Address _____

City _____ State _____ ZIP Code _____

Commercial Co-Venturer Name: _____

Address _____

City _____ State _____ ZIP Code _____

Solicitation Activities Planned for Fiscal Year Which Follows the Reporting Year

Identify the individuals who will have final responsibility for the charity's custody of contributions:

JAMES F. LINNEHAN, JR., ESQ.

Name and Title: EXECUTIVE DIRECTORAddress 100 MERRIMACK STCity LOWELLState MAZIP Code 01852

Name and Title: _____

Address _____

City _____

State _____

ZIP Code _____

Name and Title: _____

Address _____

City _____

State _____

ZIP Code _____

Identify the individuals who will have final responsibility for the charity's distribution of contributions:

ENTIRE BOARD OF DIRECTORS

Name and Title: SEE STATEMENT 3Address 100 MERRIMACK STREETCity LOWELLState MAZIP Code 01852

Name and Title: _____

Address _____

City _____

State _____

ZIP Code _____

Name and Title: _____

Address _____

City _____

State _____

ZIP Code _____

Certification by Organization


Two different signatures required. Signers must be organization president or other authorized officer or trustee.

Under penalty of perjury, we declare that the information furnished in this report, including all attachments, is true and correct to the best of our knowledge.

Signature:  Date: 5/2/17

Printed Name: JOSEPH BARTOLOTTA

Title: PRESIDENT

Signature:  Date: 5/2/17

Printed Name: JAMES C. SHANNON, III

Title: TREASURER

Exhibit A

**FIFTH AMENDED AND RESTATED BY-LAWS
OF
GREATER LOWELL COMMUNITY FOUNDATION, INC.**

Adopted July 12, 2016

ARTICLE I

GENERAL

Section 1.1 Name. The name of the Corporation is the Greater Lowell Community Foundation, Inc. (the "Corporation").

Section 1.2 Principal Office. The principal office of the Corporation shall be located at 100 Merrimack Street, Suite 202, Lowell, Massachusetts 01852.

Section 1.3 Non-Profit Corporation. The Corporation shall have all the powers and all the privileges granted to Corporation's organized under Massachusetts General Laws, Chapter 180 (the "Act").

Section 1.4 Amendment of Prior By-Laws. These Third Amended and Restated By-Laws (the "By-Laws") amend and restate those prior Second Amended and Restated By-Laws of the Corporation, dated June 24, 2008 (the "Prior By-Laws"), as approved by Board of Directors in accordance with Article X of the Prior By-Laws and the Act.

ARTICLE II

MEMBERS

Section 2.1 Members: Number. The Members of the Corporation shall be no fewer than twenty (20) in number. Members shall be: (i) the Directors of the Corporation then in office; (ii) those persons appointed to functioning volunteer committees and ratified by the Directors of the Corporation then in office; (iii) those persons who may be elected Members by the majority of a quorum of the then attending Members; (iv) charter Members of the Corporation, defined as those individuals who contributed annually during the first three (3) years of the Corporation's existence; (v) those persons who contribute to the Corporation annual campaign; and (vi) groups of persons that contribute to the Corporation annual campaign that designate in writing one (1) voting representative among said group.

Section 2.2 Tenure. Each Member (other than the charter Members) shall hold office until the next annual meeting of Members and until his or her successor is elected or qualified, or until he or she sooner dies, resigns, is removed or becomes disqualified.

Section 2.3 Powers and Rights. In addition to the right to elect Directors (as provided in Section 3.2), and such other powers and rights as are vested in them by law, the Articles of Organization or these By-Laws, the Members shall have such other powers and rights as the Directors may designate.

Section 2.4 Resignations. All resignations shall be in writing addressed to any Officer or Director of the Corporation. Such resignation shall be effective at some other time), and acceptance thereof shall not be necessary to make it effective unless it so states.

Section 2.5 Suspensions or Removals. Any Member may be suspended or expelled for cause by an affirmative vote of a majority of the Members present and voting, after a hearing on such Member's suspension or expulsion, if he or she desires to be heard. A copy of the charges proffered against him or her shall be served upon him or her at least seven (7) days before the meeting at which the suspension or expulsion shall be considered, together with a notice of the time and place of such meeting. Service of such copy and notice shall be made in conformance of the requirements of Article IV.

Section 2.6 Vacancies. Any vacancy in the membership, except a vacancy resulting from enlargement (which must be filed in accordance with Section 2.1) may be filled by the Members. Each successor shall hold office for the unexpired term or until he or she sooner dies, resigns, is removed or becomes disqualified. The Members shall have and may exercise all of their powers notwithstanding the existence of one or more vacancies on their number.

Section 2.7 Annual Meeting. The annual meeting of the Members shall be held annually at 8:00 A.M. on the second (2nd) Tuesday of June in Lowell, Massachusetts, or at such other time fixed by the Members, or if that date is a legal holiday, then at the same hour on the next succeeding date not a legal holiday. The annual meeting may be held at such place within Greater Lowell as the President shall determine. Notice of any change of the date fixed shall be given to all Members at least seven (7) days before the new date fixed for such meeting. If any annual meeting is not held as provided in this Section 2.6, a special meeting of the Members may be held in place thereof with the same force and effect as the annual meeting, and in such case all references in these By-Laws to the annual meeting of the Members shall be deemed to refer to such special meeting.

Section 2.8 Regular Meetings. Regular meetings of the Members may be held at such places within Greater Lowell and at such times as the Members may determine.

Section 2.9 Special Meetings. Special meetings of the Members may be held at any time and at any place within the United States. Special meetings of the Members may be called by the President or by the Directors, and shall be called by the Clerk, or in the case of the death, absence, incapacity or refusal of the Clerk, by any other Officer of the Corporation, upon the written application of three (3) or more Members.

Section 2.10 Requirement of Notice. Except as required by law, the Articles of Organization or these By-Laws, no call or notice is required for annual meetings or regular meetings of the Members. For special meetings of the Members, reasonable notice of the time and place of the special meeting of the Members shall be given to each Member. Such notice need not specify the purposes of the meeting, unless otherwise required by law, the Articles of Organization or these By-Laws or unless there is to be considered at the meeting: (a) contracts or transactions of the Corporation with interested person(s), (b) amendments to these By-Laws (as adopted by the Directors or otherwise), (c) an increase or decrease in the number of Members or Directors, or (d) removal or suspension of a Member or Director. All notices to Members shall conform to the requirements of Article IV.

Section 2.11 Waiver of Notice. A Member may waive any notice required by law, the Articles of Organization, or these By-Laws before or after the date and time stated in the notice. The waiver shall be in writing, be signed by the Member entitled to the notice, and be delivered to the Corporation for inclusion with the records of the meeting. A Member's attendance at a meeting: (a) waives objection to lack of notice or defective notice of the meeting, unless the Member at the beginning of the meeting

objects to holding the meeting or transacting business at the meeting; and (b) waives objection to consideration of a particular matter at the meeting that is not within the purpose or purposes described in the meeting notice, unless the Member objects to considering the matter when it is presented.

Section 2.12 Quorum. At any meeting of the Members, Seven (7) of the Members then in office (whether present in person or duly represented) shall constitute a quorum. The President declares the existence of a quorum of Members at any meeting. Any meeting may be adjourned to such date or dated not more than thirty (30) days after the first session of the meeting by a majority of the votes cast upon the question, whether or not a quorum is present, and the meeting may be held as adjourned without further notice. A Member is deemed present for quorum purposes for the remainder of the meeting and for any adjournment of that meeting unless: (i) the Member attends solely to object to lack of notice, defective notice or the conduct of the meeting on other grounds and does not vote or otherwise consent that they are to be deemed present, or (ii) in the case of an adjournment, a new record date is or shall be set for that adjourned meeting.

Section 2.13 Voting by Proxy. A Member may vote in person or may appoint a proxy to vote or otherwise act for him or her by signing an appointment form, either personally or by his or her attorney-in-fact. An appointment of a proxy is effective when received by the Clerk or other Officer or agent authorized to tabulate votes. Unless otherwise provided in the appointment form, an appointment is valid for a period of eleven (11) months from the date the Member signed the form or, if it is undated, from the date of its receipt by the Officer or agent. An appointment of a proxy is revocable by the Member unless the appointment form conspicuously states that it is irrevocable and the appointment is coupled with an interest. An appointment made irrevocable is revoked when the interest with which it is coupled is extinguished. The death or incapacity of the Member appointing a proxy shall not affect the right of the Corporation to accept the proxy's authority unless notice of the death or incapacity is received by the Clerk or other Officer or agent authorized to tabulate votes before the proxy exercises his or her authority under the appointment. Subject to any express limitation on the proxy's authority appearing on the face of the appointment form, the Corporation and is entitled to accept the proxy's vote or other action as that of the Member making the appointment.

Section 2.14 Order of Business. The order of business for each annual meeting of the Members, unless otherwise determined upon the vote of a majority of the Members present and voting, is as follows:

- (a) Reading of last minutes;
- (b) Report of Treasurer;
- (c) Elections of members of the Board of Directors;
- (d) Unfinished Business; and
- (e) General Business.

Section 2.15 Action at Meeting. If a quorum of the Members exists, favorable action on a matter is taken by the vote of a majority of the Members present at such meeting; provided, however, any amendment to the Articles of Organization requires the approval of at least two-thirds (2/3) of the Members, in accordance with the Act.

Section 2.16 Action without Meeting by Unanimous Written Consent. Action taken at a Members' meeting may be taken without a meeting if the action is taken by all Members entitled to vote on the action. The action shall be evidenced by one or more written consents that describe the action taken, are signed by Members having the requisite votes, bear the date of the signatures of such Members, and are delivered to the Corporation for inclusion with the records of meetings within sixty (60) days of

the earliest dated consent delivered to the Corporation as required by this Section 2.15. A consent signed under this Section 2.15 has the effect of a vote at a meeting.

Section 2.17 Record Date. The Board of Directors may fix the record date in order to determine the Members entitled to notice of a meeting of the Members, to demand a special meeting, to vote, or to take any other action. If a record date for a specific action is not fixed by the Board of Directors, and is not supplied by law, the record date shall be the close of business either on the day before the first notice is sent to the Members, or, if no notice is sent, on the day before the meeting or, in the case of action without a meeting by written consent, the date the first Member signs the consent. A record date fixed under this Section 2.16 may not be more than seventy (70) days before the meeting or action requiring a determination of the Members. A determination of the Members entitled to notice of or to vote at a meeting of the Members is effective for any adjournment of the meeting unless the Board of Directors fixes a new record date, which it shall do if the meeting is adjourned to a date more than one hundred twenty (120) days after the date fixed for the original meeting.

Section 2.18 Meetings by Remote Communications. Unless otherwise provided in the Articles of Organization, if authorized by the Board of Directors, any annual or special meeting of the Members need not be held at any place but may instead be held solely by means of remote communication; and subject to such guidelines and procedures as the Board of Directors may adopt, Members not physically present at a meeting of Members may, by means of remote communications: (a) participate in a meeting of Members, and (b) be deemed present in person and vote at a meeting of Members whether such meeting is to be held at a designated place or solely by means of remote communication, provided that: (i) the Corporation shall implement reasonable measures to verify that each person deemed present and permitted to vote at the meeting by means of remote communication is a Member; (ii) the Corporation shall implement reasonable measures to provide such Member a reasonable opportunity to participate in the meeting and to vote on matters submitted to the Members, including an opportunity to read or hear the proceedings of the meeting substantially concurrently with such proceedings; and (iii) if any Member votes or takes other action at the meeting by means of remote communication, a record of such vote or other action shall be maintained by the Corporation.

Section 2.19 Form of Member Action

(a) Any vote, consent, waiver, or other action by a Member or other agent of any Member shall be considered given in writing, dated and signed, if, in lieu of any other means permitted by law, it consists of an electronic transmission that sets forth or is delivered with information from which the Corporation can determine: (i) that the electronic transmission was transmitted by the Member or by a person authorized to act for the Member and (ii) the date on which such Member or authorized person transmitted the electronic transmission. The date on which the electronic transmission is transmitted shall be considered to be the date on which it was signed. The electronic transmission shall be considered received by the Corporation if it has been sent to any address specified by the Corporation for the purpose or, if no address has been specified, to the principal office of the Corporation, addressed to the Clerk or other Officer or agent having custody of the records of proceedings of Members.

(b) Any copy, facsimile or other reliable reproduction of a vote, consent, waiver, or other action by a Member or other agent of any Member may be substituted or used in lieu of the original writing for any purpose for which the original writing could be used, but the copy, facsimile or other reproduction shall be a complete reproduction of the entire original writing.

Section 2.20 Compensation. The Members shall not be entitled to any compensation for their service as a Member of the Corporation, provided, however, Members shall not be precluded from serving the Corporation in any other capacity and receiving compensation for any such services.

ARTICLE III

DIRECTORS

Section 3.1 Powers. The affairs of the Corporation shall be managed by the Directors who shall have and may exercise all the powers of the Corporation, except those powers reserved to the Members by law, the Articles of Organization or these By-Laws.

Section 3.2 Number: Election. The Members annually at their annual meeting shall fix the number of Directors and shall elect the number of Directors so fixed. One or more director(s) shall be elected each year, it being the intention that approximately one-third (1/3) of the Directors be elected annually. At any special or regular meeting the Members then in office may increase the number of Directors and elect new Directors to complete the number so fixed; or they may decrease the number of Directors, but only to eliminate vacancies existing by reason of the death, resignation, removal or disqualification of one or more Directors. A Director may, but need not be, a Member.

Section 3.3 Vacancies. If a vacancy occurs on the Board of Directors as a result of a Director leaving the Board of Directors prior to the end of his or her term, the Members or the Board of Directors may fill the vacancy, and such newly appointed Director shall serve the remainder of the term of the Director he or she replaces. The Directors shall have and may exercise all of their powers notwithstanding the existence of one or more vacancies in their number.

Section 3.4 Change in Size of the Board of Directors. The number of Directors may be fixed or changed from time to time by the Members in accordance with Section 3.2.

Section 3.5 Tenure. Each Director shall hold office for a term of three (3) years and may serve after election at an annual meeting for one (1) additional year. A Director may not be eligible for election to a third (3rd) term until a period of one (1) year has elapsed from the expiration of the immediately preceding term. The ineligibility provision established herein may be waived on a case by case basis by a majority vote of the Executive Board of the Corporation, such Executive Board to consist of the President, Vice President, Treasurer and Clerk. During such one (1) year period, the Director may serve as a member of the Corporation's Advisory Board.

Section 3.6 Resignation. A Director may resign at any time by delivering written notice of resignation to the Officers of the Corporation or to a meeting of the Members or Directors of the Corporation, or to the Corporation at its principal office address. Such resignation shall be effective upon receipt (unless specified to be effective at some other time), and acceptance thereof shall not be necessary to make it effective unless it so states. The resignation of a Director who is also an Officer shall resign such person from both positions.

Section 3.7 Suspension or Removal. A Director may be suspended or removed: (a) with cause by vote of a majority of the Members then in office or (b) with cause by a vote of two-thirds (2/3) of the Directors then in office. A Director may be removed with cause only after reasonable notice and opportunity to be heard.

Section 3.8 Regular Meetings. Regular meetings of the Board of Directors shall be held at such places and at such times as the Directors may determine.

Section 3.9 Special Meetings. Special meetings of the Directors may be held at any time and at any place when called by: (a) the Chairman of the Board of Directors (or if there be no such Chairman, by the President) or (b) by two (2) or more Directors.

Section 3.10 Requirement of Notice. Except as required by law, the Articles of Organization or these By-Laws, no call or notice is required for regular meetings of the Board of Directors. For special meetings of the Board of Directors, reasonable notice of the time and place of the special meeting of the Directors shall be given to each Director. Such notice need not specify the purposes of the meeting, unless otherwise required by law, the Articles of Organization or these By-Laws or unless there is to be considered at the meeting: (a) contracts or transactions of the Corporation with interested person(s), (b) amendments to these By-Laws, (c) an increase or decrease in the number of Directors, or (d) removal or suspension of a Director. All notices to Directors shall conform to the requirements of Article IV.

Section 3.11 Waiver of Notice. A Director may waive any notice before or after the date and time of the meeting. The waiver shall be in writing, signed by the Director entitled to the notice, or in the form of an electronic transmission by the Director to the Corporation, and filed with the minutes or corporate records. A Director's attendance at or participation in a meeting waives any required notice to him or her of the meeting unless the Director at the beginning of the meeting, or promptly upon his or her arrival, objects to holding the meeting or transacting business at the meeting and does not thereafter vote for or assent to action taken at the meeting.

Section 3.12 Quorum. At any meeting of the Directors, seven (7) of the Directors then in office (whether present in person or duly represented) shall constitute a quorum. The President declares the existence of a quorum of Directors at any meeting. Any meeting may be adjourned by a majority of the votes cast upon the question, whether or not a quorum is present, and the meeting may be held as adjourned without further notice. A Director is deemed present for quorum purposes for the remainder of the meeting and for any adjournment of that meeting unless: (i) the Director attends solely to object to lack of notice, defective notice or the conduct of the meeting on other grounds and does not vote or otherwise consent that they are to be deemed present, or (ii) in the case of an adjournment, a new record date is or shall be set for that adjourned meeting.

Section 3.13 Action at Meeting. If a quorum is present when a vote is taken, the affirmative vote of a majority of Board of Directors present is the act of the Board of Directors, unless otherwise provided by law, the Articles of Organization or these By-Laws. A Director who is present at a meeting of the Board of Directors when an action is taken is considered to have assented to the action taken unless: (a) he or she objects at the beginning of the meeting, or promptly upon his or her arrival, to holding it or transacting business at the meeting; (b) his or her dissent or abstention from the action taken is entered in the minutes of the meeting; or (c) he or she delivers written notice of his or her dissent or abstention to the presiding Officer of the meeting before its adjournment or to the Corporation immediately after adjournment of the meeting. The right of dissent or abstention is not available to a Director who votes in favor of the action taken.

Section 3.14 Action without Meeting. Any action required or permitted to be taken by the Board of Directors may be taken without a meeting if the action is taken by the unanimous consent of the Directors. The action must be evidenced by one (1) or more consents describing the action taken, in writing, signed by each Director, or delivered to the Corporation by electronic transmission, to the address specified by the Corporation for the purpose or, if no address has been specified, to the principal office of the Corporation, addressed to the Clerk or other Officer or agent having custody of the records of proceedings of Directors, and included in the minutes or filed with the Corporate records reflecting the action taken. Action taken under this Section 3.13 is effective when the last Director signs or delivers the consent, unless the consent specifies a different effective date. A consent signed or delivered under this Section 3.13 has the effect of a meeting vote and may be described as such in any document.

Section 3.15 Telephone Conference Meetings. The Board of Directors may permit any or all Directors to participate in a regular or special meeting by, or conduct the meeting through the use of, any

means of communication by which all Directors participating may simultaneously hear each other during the meeting. A Director participating in a meeting by this means is considered to be present in person at the meeting.

Section 3.16 Committees. The Board of Directors may create one (1) or more committees and may delegate to any such committee or committees any of their powers. Any committee to which the powers of the Directors are delegated shall consist solely of Directors. Unless the Directors shall otherwise designate, committees shall conduct their affairs in the same manner as is provided in these By-Laws for the Directors. The members of any committees shall remain in office at the pleasure of the Directors. The Corporation may have the following committees:

(a) **Finance Committee.** The Board of Directors may appoint a Finance Committee of three (3) or more Directors. The Board of Directors shall designate one (1) Director as Chairman of the Finance Committee, and may designate one (1) or more Directors as alternate members of the Finance Committee. The Finance Committee shall have the power to fix from time to time the compensation of all employees of the Corporation and shall otherwise exercise such powers as may be delegated to it by the Board of Directors from time to time and act upon such matters as may be referred to it from time to time for study and recommendation by the Board of Directors or the President.

(b) **Investment Committee.** The Board of Directors may appoint from among the Members an Investment Committee of not less than three (3) Members, and shall designate one (1) of such Members as Chairman of the Investment Committee. The Investment Committee shall: (i) advise and assist the Board of Directors in all matters relating to investments and the management and operation of the business of the Corporation relating to investments and the management of funds entrusted to the Corporation; (ii) furnish investment and management plans and programs; (iii) formulate policies and generally advise and assist the Board of Directors in the management of the Corporation, its funds and investments; and (iv) exercise such other powers as may be delegated to it by the Board of Directors from time to time.

(c) **Development Committee.** The Board of Directors may appoint from among the Members a Development Committee of not less than three (3) Members and shall designate one (1) of such Members as Chairman of the Development Committee. The Development Committee shall work with the Executive Director or his/her designee to: (i) develop a plan to set fundraising goals; (ii) assess progress towards meeting those goals; (iii) establish fundraising policies; (iv) develop an expectation for financial contributions from all board members; (v) identify and assist in the cultivation of major gift prospects; and (vi) review options and approve recommendations from the Executive Director on using events to meet fundraising and/or public relations goals.

(d) **Distribution Committee.** The Board of Directors may appoint from among the Members a Distribution Committee of not less than three (3) Members, and shall designate one (1) of such Members as Chairman of the Distribution Committee. The Distribution Committee shall: (i) advise and assist the Board of Directors in adopting policies for grant making and disbursements and distributions from the discretionary and field of interest funds maintained by the CORP from time to time; (ii) ensure that all funds maintained by the CORP are committed to the purposes set forth by each donor and that each charitable purpose has correctly and fully been met, (iii) recommend to the Board of Directors policies for the investment and distribution of donor advised and designated funds; and (iv) exercise such other powers as may be delegated to it by the Board of Directors from time to time.

(e) **Governance and Personnel Committee.** Nomination for Directors shall be made by a Governance and Personnel Committee designed by the President consisting of three (3) Members. The

Committee shall place on the ballot sufficient names to fill all open Director positions. In addition, any Member may be nominated by petition of ten (10) Members, and with his or her assent, may be placed upon the ballot by the Nominating Committee. Said petition must be submitted to the Corporation's Clerk no less than twenty-one (21) days prior to election. A notice with the names of those nominated appearing thereon, in alphabetical order according to their surname, shall be mailed to all Members in good standing not more than fifteen (15) days nor later than ten (10) days before the election. Those names receiving the highest number of votes at the annual meeting shall be declared elected. Election at the annual meeting may be in such manner as the Board of Directors shall dictate. In the case of a tie for any office, the one to serve shall be determined at the annual meeting by lot drawn by the President. Nominations for Director that are not received in accordance with the requirements set forth above shall be void.

(f) Audit Committee. The Board of Directors may appoint an Audit Committee of three (3) or more Directors. The Board of Directors shall designate one (1) Director as Chairman of the Audit Committee, and may designate one (1) or more Directors as alternate members of the Audit Committee. The Audit Committee shall: (i) periodically review the Corporation's accounting, financial reporting and controls, and (ii) exercise such other powers as may be delegated to it by the Board of Directors from time to time. The members of the Audit Committee shall be elected annually by the Board of Directors.

(g) Ad Hoc Committee. The Board of Directors, by resolution adopted by a majority of the Board of Directors, may designate other committees consisting of not less than three (3) Directors, each of which shall have and exercise such powers as shall be conferred or authorized by resolution of the Board of Directors. A majority of any such committee may determine its action and fix the time and place of its meetings unless the Board of Directors shall otherwise provide. The Board of Directors, by such affirmative vote, shall have the power at any time to change the powers and members of any such committees, to fill vacancies, and to dispose of any such committee.

(h) Executive Committee. The Board of Directors may appoint an Executive Committee consisting of the: President, Vice President, Clerk, and one (1) or more Treasurer or Assistant Treasurer. The Executive Committee shall have and exercise all of the authority of the Board of Directors in the management of the Corporation, except as otherwise required by law. Vacancies in the membership of the Executive Committee shall be filled by the Board of Directors at a regular or special meeting of the Board of Directors. The Executive Committee shall keep regular minutes of its proceedings and report the same to the Board when required. The Board of Directors may designate one or more Directors as alternate members of the Executive Committee. The Executive Committee shall not have authority: (1) To approve any action which will also require the Board of Director's approval; (2) To fill vacancies on the Board of Directors or in any Committee; or (3) To appoint other Committees of the Board of Directors.

Section 3.17 Compensation. The members of the Board of Directors (or any committee thereof) shall not be entitled to any compensation for their service as a member of the Board of Directors of the Corporation, provided, however, Directors shall not be precluded from serving the Corporation in any other capacity and receiving compensation for any such services.

Section 3.18 Standard of Conduct for Directors.

(a) A Director shall discharge his or her duties as a Director, including his or her duties as a member of a committee: (i) in good faith; (ii) with the care that a person in a like position would reasonably believe appropriate under similar circumstances; and (iii) in a manner the Director reasonably believes to be in the best interests of the CORP. In determining what the Director reasonably believes to be in the best interests of the CORP, a Director may consider the interests of the Corporation's Members, employees, suppliers, creditors and customers, the economy of the state, the region and the nation, community and societal considerations, and the long-term and short-term interests of the CORP and its

Members, including the possibility that these interests may be best served by the continued independence of the Corporation.

(b) In discharging his or her duties, a Director who does not have knowledge that makes reliance unwarranted is entitled to rely on information, opinions, reports, or statements, including financial statements and other financial data, if prepared or presented by: (i) one (1) or more Officers or employees of the Corporation whom the Director reasonably believes to be reliable and competent with respect to the information, opinions, reports or statements presented; (ii) legal counsel, public accountants, or other persons retained by the Corporation, as to matters involving skills or expertise the Director reasonably believes are matters: (A) within the particular person's professional or expert competence or (B) as to which the particular person merits confidence; or (iii) a committee of the Board of Directors of which the Director is not a Member if the Director reasonably believes the committee merits confidence.

(c) A Director is not liable for any action taken as a Director, or any failure to take any action, if he or she performed the duties of his or her office in compliance with this Section 3.17.

Section 3.19 Conflict of Interest.

(a) A conflict of interest transaction is a transaction with the Corporation in which a Director of the Corporation has a material direct or indirect interest. A conflict of interest transaction is not voidable by the Corporation solely because of the Director's interest in the transaction if any one of the following is true:

- (i) the material facts of the transaction and the Director's interest were disclosed or known to the Board of Directors or a committee of the Board of Directors and the Board of Directors or committee authorized, approved, or ratified the transaction;
- (ii) the material facts of the transaction and the Director's interest were disclosed or known to the Members entitled to vote and they authorized, approved, or ratified the transaction; or
- (iii) the transaction was fair to the Corporation.

(b) For purposes of this Section 3.18, and without limiting the interests that may create conflict of interest transactions, a Director of the Corporation has an indirect interest in a transaction if: (i) another entity in which he or she has a material financial interest is a party to the transaction; or (ii) another entity of which he or she is a director, officer, or trustee, or in which he or she holds another position, is a party to the transaction and the transaction is or should be considered by the Board of Directors of the Corporation.

(c) For purposes of clause (i) of subsection (a), a conflict of interest transaction is authorized, approved, or ratified if it receives the affirmative vote of a majority of the members of the Board of Directors who have no direct or indirect interest in the transaction, but a transaction may not be authorized, approved, or ratified under this Section 3.18 by a single Director. If a majority of the Directors who have no direct or indirect interest in the transaction vote to authorize, approve, or ratify the transaction, a quorum is present for the purpose of taking action under this Section 3.18. The presence of, or a vote cast by, a Director with a direct or indirect interest in the transaction does not affect the validity of any action taken under clause (i) of subsection (a) if the transaction is otherwise authorized, approved, or ratified as provided in that subsection.

(d) For purposes of clause (ii) of subsection (a), a conflict of interest transaction is authorized, approved, or ratified if it receives the vote of a majority of the Members entitled to vote. A majority of the Members, whether or not present, that are entitled to be counted in a vote on the transaction under this subsection constitutes a quorum for the purpose of taking action under this Section 3.18.

Section 3.20 Honorary Positions and Sponsors, Benefactors, Advisors and Friends of the Corporation.

(a) Director Emeritus. From time to time, the Board of Directors may designate a retiring member of the Board as a Director Emeritus. Directors Emeriti must be former Directors of the Corporation. Directors Emeriti are invited to participate in all Board meetings and receive all Board reports; may serve on standing and ad hoc committees; and continue to serve as ambassadors for GREATER LOWELL COMMUNITY FOUNDATION, INC. within the community. Directors Emeriti will not have voting privileges and may not serve as officers or committee chairs.

(b) Advisory Board. The Directors may designate certain persons or groups of persons as advisors to the Corporation's advisors, who are not members of the Board, may be appointed to Board committees by the President of the Board, although they may not serve as committee chairs, and may include community representatives; program participants, professionals in education, public service, legal, human services, or other areas of expertise; donors or their representatives. Such persons serve in an honorary capacity and, except as the Directors shall designate, shall in such capacity have no right to notice of or to attend any meeting of the Board, and shall have no other rights or responsibilities.

(c) Removals and Resignations. Removals and resignations from the Corporation's honorary positions and Advisory Board are governed by Section 4 of these By-Laws.

ARTICLE IV

MANNER OF NOTICE

Section 4.1 Notices. All notices hereunder shall conform to the following requirements:

(a) Notice shall be in writing unless oral notice is reasonable under the circumstances. Notice by electronic transmission is written notice. Except as otherwise expressly provided, it shall be reasonable notice to a member to send notice by mail at least 72 hours or by telegram at least 48 hours before the meeting addressed to him at his usual or last known business or residence address or to give notice to him in person or by telephone at least 24 hours before the meeting.

(b) Notice may be communicated in person; by telephone, voice mail, telegraph, teletype, or other electronic means; by mail; by electronic transmission; or by messenger or delivery service. If these forms of personal notice are impracticable, notice may be communicated a newspaper of general circulation in the area where published; or by radio, television, or other form of public broadcast communication.

(c) Written notice, other than notice by electronic transmission, if in a comprehensible form, is effective upon deposit in the United States mail, if mailed postpaid and correctly addressed to the Member's or Director's address shown in the Corporation's current records.

(d) Written notice by electronic transmission, if in comprehensible form, is effective: (i) if by facsimile telecommunication, when directed to a number furnished by the Member or Director for the

purpose; (ii) if by electronic mail, when directed to an electronic mail address furnished by the Member or Director for the purpose; (iii) if by a posting on an electronic network together with separate notice to the Member or Director of such specific posting, directed to an electronic mail address furnished by the Member or Director for the purpose, upon the later of: (A) such posting and (B) the giving of such separate notice; and (iv) if by any other form of electronic transmission, when directed to the Member or Director in such manner as the Member or Director shall have specified to the Corporation. An affidavit of the Clerk or an Assistant Clerk of the Corporation or other agent of the Corporation that the notice has been given by a form of electronic transmission shall, in the absence of fraud, be prima facie evidence of the facts stated therein.

(e) Except as provided in subsection (c), written notice, other than notice by electronic transmission, if in a comprehensible form, is effective at the earliest of the following: (i) when received; (ii) five (5) days after its deposit in the United States mail, if mailed postpaid and correctly addressed; (iii) on the date shown on the return receipt, if sent by registered or certified mail, return receipt requested; or if sent by messenger or delivery service, on the date shown on the return receipt signed by or on behalf of the addressee; or (iv) on the date of publication if notice by publication is permitted.

(f) Oral notice is effective when communicated if communicated in a comprehensible manner.

ARTICLE V

OFFICERS

Section 5.1 Enumeration. The CORP shall have a President, a Treasurer, a Clerk and such other Officers as may be appointed by the Board of Directors from time to time in accordance with these By-Laws. A person may hold more than one office at the same time.

Section 5.2 Appointment. The President, Vice-President, Treasurer, Assistant Treasurer and Clerk shall be elected annually by the Directors at their first meeting following the annual meeting of the Members. Other Officers, if any, may be elected by the Directors at any time.

Section 5.3 Qualification. An Officer may, but need not be, a Director or Member of the Corporation. The Clerk shall be a resident of the Commonwealth of Massachusetts unless the Corporation has a resident agent duly appointed for the purpose of service of process.

Section 5.4 Tenure. The Vice- President, Treasurer, Assistant Treasurer and Clerk shall each hold office until the first meeting of the Directors following the next Annual Meeting of the Members and until his or her successor is chosen and qualified, and each other Officer shall hold office until the first meeting of the Directors following the next Annual Meeting of the Members unless a shorter period shall have been specified by the terms of his election or appointment, or in each case until he or she sooner dies, resigns, is removed or becomes disqualified. Each agent shall retain his authority at pleasure of the Directors. The President shall hold office until the first meeting of the Directors following the third annual meeting of the Members following his election, and until his successor is chosen and qualified. The president may serve an additional one (1) year term by majority vote of the Directors.

Section 5.5 Resignation. An Officer may resign at any time by delivering written notice of resignation to the other Officers of the Corporation, or to a meeting of the Members or Directors of the Corporation, or to the Corporation at its principal office address. Such resignation shall be effective upon receipt (unless specified to be effective at some other time), and acceptance thereof shall not be necessary to make it effective unless it so states.

Section 5.6 Suspension or Removal. An Officer may be suspended or removed with or without cause by vote of a majority of the Directors then in office at any special meeting called for such purpose or at any regular meeting. An Officer may be removed with cause only after reasonable notice and opportunity to be heard.

Section 5.7 Vacancies. If the office of any Officer becomes vacant, the Directors may elect a successor. Each such successor shall hold office for the unexpired term, and in the case of the President, Treasurer and Clerk, until his or her successor is elected and qualified, or in each case until he or she sooner dies, resigns, is removed or becomes disqualified.

Section 5.8 President. The President shall be the chief executive officer of the Corporation and, subject to the control of the Directors, shall have general charge and supervision of the affairs of the Corporation. The President shall preside at all meetings of the Members and, if no Chairman of the Board of Directors is elected, at all meetings of the Directors, except as the Members or Directors otherwise determine. The term as a Director of the individual also serving as President of the Corporation shall be suspended during such period.

Section 5.9 Vice President. The Vice President or Vice Presidents, if any, shall have such duties and powers as the Directors shall determine. The Vice President, or First Vice President if there are more than one, shall have and may exercise all the powers and duties of the President during the absence or the President or in the event of his inability to act.

Section 5.10 Treasurer. The Treasurer shall be the chief financial officer and chief account officer of the Corporation. The Treasurer shall be in charge of the Corporation's financial affairs, funds, securities and valuable papers and shall keep full and accurate records thereof. The Treasurer shall have such other duties and powers as designated by the Directors or the President. The Treasurer shall also be in charge of the Corporation's books of account and accounting records, and of the Corporation's accounting procedures.

Section 5.11 Clerk. The Clerk shall maintain the corporate records required in accordance with Article VI below, including, but not limited to, recording and maintaining records of all proceedings of the Members and Directors, in a book or series of books kept for that purpose, which book or books shall be kept within the Commonwealth of Massachusetts at the principal office of the Corporation or at the office of the Clerk or of the Corporation's resident agent, which shall be open at all reasonable times to the inspection of any Member. Such book or books shall also contain records of all meetings of incorporators and the original, or attested copies, of the Articles of Organization and By-Laws and names of all Members and Directors and the addresses of each. If the Clerk is absent from any meeting of Members or Directors, a temporary clerk chosen at the meeting shall exercise the duties of the Clerk at the meeting.

Section 5.12 Standards Of Conduct For Officers. An Officer shall discharge his or her duties: (a) in good faith; (b) with the care that a person in a like position would reasonably exercise under similar circumstances; and (c) in a manner the Officer reasonably believes to be in the best interests of the Corporation. In discharging his or her duties, an Officer, who does not have knowledge that makes reliance unwarranted, is entitled to rely on information, opinions, reports, or statements, including financial statements and other financial data, if prepared or presented by: (i) one (1) or more Officers or employees of the Corporation whom the Officer reasonably believes to be reliable and competent with respect to the information, opinions, reports or statements presented; or (ii) legal counsel, public accountants, or other persons retained by the Corporation as to matters involving skills or expertise the Officer reasonably believes are matters (A) within the particular person's professional or expert competence or (B) as to which the particular person merits confidence. An Officer shall not be liable to

the Corporation or its Members for any decision to take or not to take any action taken, or any failure to take any action, as an Officer, if the duties of the Officer are performed in compliance with this Section 5.12.

Section 5.13 Executive Committee. The Executive Committee of the CORP shall consist of the President, Immediate Past President, Vice President, Treasurer, Assistant Treasurer and Clerk. Meetings of the Executive Committee shall be held at the discretion of the President. The Executive Committee shall be subject to the same voting and notice requirements and at all meetings, shall exercise all rights and powers of the Board of Directors, except as may otherwise be provided herein. Meetings of the Executive Committee shall be open to all serving Directors, and all Directors present at a meeting of the Executive Committee shall be permitted to vote on any matter which may come before the Executive Committee. All matters to come before the Executive Committee shall require a vote of the majority, but not less than five (5) of those members of the Executive Committee and Board of Directors in attendance at and voting at such meeting.

ARTICLE VI

CORPORATE RECORDS

Section 6.1 Records to be Kept.

(a) The Corporation shall keep as permanent records minutes of all meetings of its Members and Board of Directors, a record of all actions taken by the Members or Board of Directors without a meeting, and a record of all actions taken by a committee of the Board of Directors in place of the Board of Directors on behalf of the Corporation. The Corporation shall maintain appropriate accounting records. The Corporation or its agent shall maintain a record of its Members, in a form that permits preparation of a list of the names and addresses of all Members. The Corporation shall maintain its records in written form or in another form capable of conversion into written form within a reasonable time.

(b) The Corporation shall keep within the Commonwealth of Massachusetts a copy of the following records at its principal office or of its Clerk or of its registered agent or of its attorneys:

- (i) its Articles of Organization, as amended and/or restated;
- (ii) its By-Laws, as amended and/or restated;
- (iii) the minutes of all Board of Director meetings, and records of all action taken by the Board of Directors without a meeting, for the past three (3) years;
- (iv) the minutes of all Members' meetings, and records of all action taken by Members without a meeting, for the past three (3) years;
- (v) a list of the names and business addresses of its current Directors and Officers;
and
- (vi) its most recent annual report delivered to the Secretary of State of the Commonwealth of Massachusetts.

Section 6.2 Inspection of Records by Members.

(a) A Member may be entitled to inspect and copy, during regular business hours at the office where they are maintained pursuant to Section 6.1(b) of this Article, copies of certain of the records

of the Corporation described in Section 6.1, if he or she gives the Corporation written notice of his or her demand at least five (5) business days before the date on which he or she wishes to inspect and copy such records.

- (b) A Member may inspect and copy the records described in subsection (a) only if:
 - (i) his or her demand is made in good faith and for a proper purpose;
 - (ii) he or she describes with reasonable particularity his or her purpose and the records he or she desires to inspect;
 - (iii) the records are directly connected with his or her purpose; and
 - (iv) the Corporation shall not have determined in good faith that disclosure of the records sought would adversely affect the Corporation in the conduct of its business.

Section 6.3 Scope of Inspection Right.

- (a) A Member's agent or attorney has the same inspection and copying rights as the Member represented.
- (b) The Corporation may, if reasonable, satisfy the right of a Member to copy records under Section 6.2 of this Article by furnishing to the Member copies by photocopy or other means chosen by the Corporation, including copies furnished through an electronic transmission.
- (c) The Corporation may impose a reasonable charge, covering the costs of labor, material, transmission and delivery, for copies of any documents provided to the Member. The charge may not exceed the estimated cost of production, reproduction, transmission or delivery of the records.
- (d) The Corporation may impose reasonable restrictions on the use or distribution of records by the demanding Member.

Section 6.4 Inspection of Records by Directors. A Director is entitled to inspect and copy the books, records and documents of the CORP at any reasonable time to the extent reasonably related to the performance of the Director's duties as a member of the Board of Directors, including duties as a member of a committee, but not for any other purpose or in any manner that would violate any duty to the Corporation.

ARTICLE VII

INDEMNIFICATION

Section 7.1 Indemnification of Officers and Directors. The Corporation shall, to the extent legally permissible, indemnify each of its Officers and Directors against all liabilities and expenses, including amounts paid in satisfaction of judgments, in compromise or as fines and penalties, and counsel fees, reasonably incurred by him or her in connection with the defense or disposition of any action, suit or other proceeding, whether civil or criminal, in which he or she may be involved or with which he or she may be threatened, while in office or thereafter, by reason of his or her being or having been such an Officer or Director, except with respect to any matter as to which he or she shall have been adjudicated in any proceeding not to have acted in good faith in the reasonable belief that his or her action was in the best interests of the Corporation; provided, however, that as to any matter disposed of by a compromise

payment by such Officer or Director, pursuant to a consent decree or otherwise, no indemnification either for said payment or for any other expenses shall be provided unless such compromise shall be approved as in the best interests of the Corporation, after notice that it involved such indemnification: (a) by a majority of the disinterested Directors then in office; or (b) if there are no disinterested Directors then in office, by a majority of the Directors then in office, provided in either case that there has been obtained an opinion in writing of independent legal counsel appointed by a majority of such disinterested Directors or a majority of the Directors, as the case may be, to the effect that the indemnification of such Officer or Director is not prohibited by law. The right of indemnification hereby provided shall not be exclusive of or affect any other rights to which any Officer or Director is entitled. As used in this paragraph, the terms "Officer" and "Director" include their respective heirs, executors and administrators, and an "interested" Officer or Director is one against whom in such capacity the proceedings in question or another proceeding on the same or similar grounds is then pending.

ARTICLE VIII

EXECUTION OF PAPERS

Section 8.1 Execution of Papers. Except as the Directors may or in particular cases authorize the execution thereof in some other manner, all deeds, leases, transfers, contracts, bonds, notes, checks, drafts, and other obligations made, accepted or endorsed by the Corporation shall be signed by the President or by the Treasurer.

ARTICLE IX

FISCAL YEAR

Section 9.1 Fiscal Year. The fiscal year of the Corporation shall be the year ending with December 31st in each year.

ARTICLE X

AMENDMENTS

Section 10.1 Amendments; Alterations; Repeal. These By-Laws may be altered, amended or repealed, in whole or in part, by vote of a majority of the Directors then in office, except with respect to any provision thereof which by law, the Articles of Organization or these By-Laws requires action by the Members. Not later than the time of giving notice of the meeting of Members next following the making, amending, or repealing by the Directors of any By-Laws, notice thereof stating the substance of such change shall be given to all Members. The Members may alter, amend or repeal any By-Laws adopted by the Directors or otherwise or adopt, alter, amend or repeal any provision which by law, the Articles of Organization or these By-Laws requires action by the Members.



Massachusetts Department of Revenue
Form M-990T
Unrelated Business Income Tax Return

2016

For calendar year 2016 or taxable period beginning JANUARY 1, 2016 and ending DECEMBER 31, 2016

Name of company GREATER LOWELL COMMUNITY FOUND Federal identification number 04-3401997

Mailing address 100 MERRIMACK STREET, NO. 202

City/Town LOWELL State MA ZIP 01852 Phone number (978) 970-1600

Name of treasurer JAMES C. SHANNON III Fill in if a Taxpayer Disclosure Statement is enclosed ☐

Fill in if:

☐ Amended return (see "Amended return" in instructions) ☐ Federal amendment ☐ Federal audit ☐ Final return

Exempt under IRC section (fill in one only)

☒ 501 ☐ 408(e) ☐ 408A ☐ 529(a) ☐ 220(e) ☐ 530(a)

Organization type (fill in one only)

☐ Organization type ☒ 501(c) corporation ☐ 501(c) trust ☐ 401(a) trust ☐ Other

Excise calculation. Use whole dollar method.

1 Unrelated business taxable income (from U.S. Form 990T, line 34)	▶ 1	
2 Foreign, state or local income, franchise, excise or capital stock taxes deducted from U.S. net income	▶ 2	
3 Section 168(k) "bonus" depreciation adjustment	▶ 3	
4 Section 311 and 31K intangible expense add back adjustment	▶ 4	
5 Federal NOL add back adjustment (from U.S. Form 990T, line 31)	▶ 5	
6 Section 31J and 31K interest expense add back adjustment	▶ 6	
7 Federal production activity add back adjustment	▶ 7	
8 Abandoned Building Renovation deduction	Total cost	<input type="text"/> x .10 = ▶ 8
9 Other adjustments, including research and development expenses (enclose explanation)	▶ 9	
10 Income subject to apportionment. See instructions	▶ 10	
11 Income apportionment percentage (from Schedule F, line 5 or 1.0, whichever applies)	▶ 11	1.000000
12 Multiply line 10 by line 11	▶ 12	
13 Income not subject to apportionment	▶ 13	
14 Add lines 12 and 13	▶ 14	
15 Certified Massachusetts solar or wind power deduction	▶ 15	
16 Taxable income before net operating loss deduction	▶ 16	

Declaration

Under penalties of perjury, I declare that to the best of my knowledge and belief, this return and enclosures are true, correct and complete.

Signature of appropriate corporate officer (see instructions)	Date	Social Security number	Phone number
			978-970-1600
Signature of paid preparer	Date	Employer Identification number	Address
	03/28/17	04-2917204	LOWELL, MA 01851

If you are signing as an authorized delegate of the appropriate corporate officer, check here ☐ and enclose Massachusetts Form M-2848, Power of Attorney. The Privacy Act Notice is available upon request. Mail to: Massachusetts Department of Revenue, PO Box 7067, Boston, MA 02204.



Name of company
GREATER LOWELL COMMUNITY FOUNDA

Federal identification number
04-3401997

Excise calculation (cont'd.)

17 Loss carryover deduction (from Schedule NOL) ▶ 17

18 Taxable income. Subtract line 17 from line 16 ▶ 18

19 Multiply line 18 by .08 19

20 Credit recapture (enclose Credit Recapture Schedule) and/or additional tax on installment sales. See instructions ▶ 20

21 Excise due before credits. Add lines 19 and 20 21

Credits. Any credit being claimed must be determined with respect to the unrelated business activity being reported on this return.

22 Economic Opportunity Area Credit (from Schedule EOAC) ▶ 22

23 Economic Development Incentive Program Credit Certificate number ▶ ▶ 23

24 Investment Tax Credit (from Schedule H) ▶ 24

25 Vanpool Credit (from Schedule VP) ▶ 25

26 Research Credit (from Schedule RC) ▶ 26

27 Harbor Maintenance Tax Credit (from Schedule HM, line 23) ▶ 27

28 Brownfields Credit Certificate number ▶ ▶ 28

29 Low-Income Housing Credit Building Identification number ▶ ▶ 29

30 Historic Rehabilitation Credit Certificate number ▶ ▶ 30

31 Film Incentive Credit Certificate number ▶ ▶ 31

32 Medical Device Credit Certificate number ▶ ▶ 32

33 Employer Wellness Program Credit Certificate number ▶ ▶ 33

34 Certified Housing Development Credit Certificate number ▶ ▶ 34

35 Life Science Company Tax Credit ▶ 35

36 Total credits. Add lines 22 through 35 36

Excise after credits

37 Excise due before voluntary contributions. Subtract line 36 from line 21. Not less than "0" 37 0.

38 Voluntary contribution for endangered wildlife conservation ▶ 38

39 Total excise plus voluntary contribution. Add lines 37 and 38 ▶ 39 0.



Name of company
GREATER LOWELL COMMUNITY FOUNDA

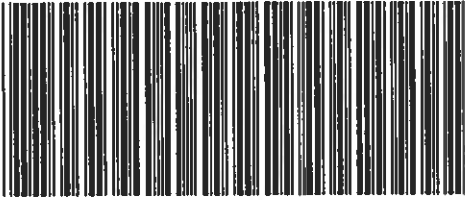
Federal identification number
04-3401997

Payments

40	2015 overpayment applied to 2016 estimated tax	▶ 40	
41	2016 Massachusetts estimated tax payments (do not include amount in line 40)	▶ 41	
42	Payment made with extension	▶ 42	
43	Pass-through entity withholding	Payer identification number ▶	
44	Refundable film credit	▶ 44	
45	Refundable Dairy Credit	Certificate number ▶	
46	Refundable life science credit	▶ 46	
47	Refundable economic development incentive program credit	▶ 47	
48	Refundable Conservation Land Credit	Certificate number ▶	
49	Refundable Community Investment Credit	Certificate number ▶	6684V14574
50	Total payments. Add lines 40 through 49	50	1,500.

Refund or balance due

51	Amount overpaid. Subtract line 39 from line 50	51	1,500.
52	Amount overpaid to be credit to 2017 estimated tax	▶ 52	
53	Amount overpaid to be refunded. Subtract line 52 from line 51	▶ 53	1,500.
54	Balance due. Subtract line 50 from line 39	▶ 54	
55a	M-2220 penalty	▶ 55a	
55b	Other penalties	▶ 55b	
55	Total penalty. Add lines 55a and 55b	55	
56	Interest on unpaid balance	▶ 56	
57	Total payment due at time of filing	▶ 57	



2016 Schedule CMS
MA16647011019

GREATER LOWELL COMMUNITY FOUNDAT 04 3401997

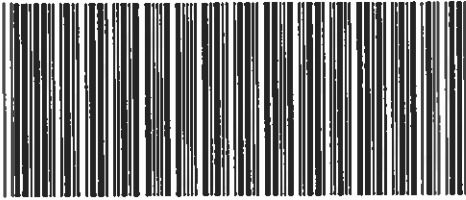
1500

Section 1. Non-refundable credits

1a. CREDIT TYPE	1b. NON-EXPIRING	1c. PERIOD END DATE	1d. CERTIFICATE NUMBER	1e. CREDIT AVAILABLE	1f. CREDIT TAKEN THIS YEAR	1g. CREDIT SHARED THIS YEAR
--------------------	---------------------	------------------------	---------------------------	-------------------------	-------------------------------	--------------------------------

1h. Total. Enter total amount of credit(s) taken this year here and where indicated above

057055 11-29-18
03/28/2017 10:59:51



2016 Schedule CMS, pg. 2

MA16647021019

04-3401997

Section 2. Refundable credits

2a. CREDIT TYPE	2b. PERIOD END DATE	2c. CERTIFICATE NUMBER	2d. CREDIT AVAILABLE OR CERTIFICATE BALANCE	2e. REDUCTION IN BALANCE FOR REFUND	2f. REFUNDABLE CREDIT TAKEN
CMMINV		6684V14574	1500	1500	1500

2g. Total. Enter total amount of credit(s) taken this year here and where indicated on page 1

1500

057050 11-29-16

03/28/2017 10:59:51



Form CITCC
Community Investment
Tax Credit Certificate

JAN 17 2017

Taxpayer name

Greater Lowell Community Foundation, Inc.

Street address

100 Merrimack Street, Suite 202

City/Town

Lowell

State

MA

Zip

01852

Type of Taxpayer

Business (Non Pass-Through Entity)

Certificate number issued by DHCD. Note: You must enter this certificate number on your tax return

Tax Year

6684V14574

2016

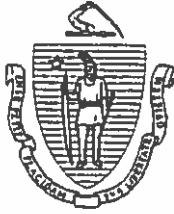
1 Amount of Community Investment Tax credit eligible for use or refund

1

\$1,500.00

For DHCD purposes only:

DHCD assigned number 03-B16-04574-CDC



Commonwealth of Massachusetts
**DEPARTMENT OF HOUSING &
COMMUNITY DEVELOPMENT**

Charles D. Baker, Governor ♦ Karyn E. Polito, Lt. Governor

January 11, 2017

RE: Community Investment Tax Credit Program- CITC Certificate

Dear Community Partner/Community Partnership Fund Investor:

The Department of Housing and Community Development (DHCD) has been notified of your participation in the Community Investment Tax Credit program through your investment to either a Community Partner or the Community Partnership Fund. Upon review of the Form CITC as submitted by you and the participating Community Partner or Fund Administrator (United Way), DHCD certifies the donation as a qualified investment consistent with the Massachusetts CITC program.

Enclosed, please find a CITC Certificate which includes your unique credit certificate number. To claim this credit, the certificate number must be entered on your tax return. Please remember that the credit is available only in the (taxpayer's) taxable year in which the investment was made. Please save the enclosed Certificate for your records.

Thank you for supporting Massachusetts community development corporations through participation in the CITC program. Please contact Jennifer Constable at the Department of Housing and Community Development at 617-573-1354 or Jennifer.Constable@state.ma.us if you have any questions.

Very truly yours,

A handwritten signature in black ink, appearing to read "Mark Southard", written over a horizontal line.

Mark Southard
Community Development Manager
Division of Community Services

MS/jbc

Enclosure: Community Investment Tax Credit Certificate

The Commonwealth of Massachusetts

William Francis Galvin
Secretary of the Commonwealth
One Ashburton Place - Room 1717, Boston, Massachusetts 02108-1512
Telephone: (617) 727-9640
ANNUAL REPORT

FEE: \$15.00

M.G.L. Ch.180
Corporation
Annual Report

FEDERAL IDENTIFICATION

Filing for November 1, 20 17

NO. 04-3401997

In compliance with the requirements of Section 26A of Chapter one hundred and eighty (180) of the General Laws:

1. NAME: GREATER LOWELL COMMUNITY FOUNDATION

2. ADDRESS: 100 MERRIMACK STREET

(number)

(street)

LOWELL

(city or town)

MA

(state)

01852

(zip)

3. DATE OF THE LAST ANNUAL MEETING: _____

4. If the corporation is a cemetery corporation, it must hold perpetual care funds in trust and attach a copy of the written agreement establishing the trust. (check appropriate box)

☐ The cemetery corporation certifies that perpetual care funds are held in trust and a copy of the written agreement establishing the trust is attached.

OR

☐ The cemetery corporation hereby certifies that it does not hold perpetual care funds in trust.

5. State the names and addresses of the president, treasurer, clerk, at least one director of the corporation, and the date on which the term of office of each expires: (PLEASE TYPE OR PRINT).

NAME OF OFFICE	NAME	ADDRESSES Number, Street, City or Town, State and Zip Code	EXPIRATION OF TERM OF OFFICE
President:	JOSEPH BARTOLOTTA	100 MERRIMACK STREET LOWELL, MA 01852	UNTIL
Treasurer:	JAMES C. SHANNON III	100 MERRIMACK STREET LOWELL, MA 01852	SUCCESSORS
Clerk: (or Secretary)	DOROTHY CHEN-COURTIN	100 MERRIMACK STREET LOWELL, MA 01852	ARE DULY
Directors: (or Officers having the powers of Directors)	SEE ATTACHED LIST		NOTED

I, the undersigned _____ being the _____ of the above-named corporation, in compliance with General Laws, Chapter 180, hereby certify that the information above is true and correct as of the dates shown.

IN WITNESS WHEREOF AND UNDER PENALTIES OF PERJURY, I hereto sign my name on this _____ day of _____, 20 17.

Signature: _____ Title: _____

Contact Person: James F. Linnehan, Jr., Esq. Contact Person Telephone #: 978-970-1600

Greater Lowell Community Foundation, Inc.
FEIN: 04-3401997
Board of Directors
December 31, 2016

Chester Szablak, Co-Vice President
100 Merrimack Street
Lowell, MA 01852

Karen Frederick, Co-Vice President
100 Merrimack Street
Lowell, MA 01852

Dorothy Chen-Courtin, MBA, Ph.D., Clerk
100 Merrimack Street
Lowell, MA 01852

Atty. Annmarie Roark, Director
100 Merrimack Street
Lowell, MA 01852

Brian Chapman, Director
100 Merrimack Street
Lowell, MA 01852

Patti Mason, Director
100 Merrimack Street
Lowell, MA 01852

Jennifer Aradhya, Director
100 Merrimack Street
Lowell, MA 01852

Andrew Macey, Director
100 Merrimack Street
Lowell, MA 01852

Glenn Mello, Director
100 Merrimack Street
Lowell, MA 01852

Susanne Beaton, Director
100 Merrimack Street
Lowell, MA 01852

Analise Saab, Director
100 Merrimack Street
Lowell, MA 01852

Joseph Bartolotta., President
100 Merrimack Street
Lowell, MA 01852

James C. Shannon III, Treasurer
100 Merrimack Street
Lowell, MA 01852

Michael King, Asst. Treasurer
100 Merrimack Street
Lowell, MA 01852

Atty. Andrea S. Batchelder, Director
100 Merrimack Street
Lowell, MA 01852

John P. Chemaly, Director
100 Merrimack Street
Lowell, MA 01852

Atty. Matthew C. Donahue, Director
100 Merrimack Street
Lowell, MA 01852

Scott Flagg, Director
100 Merrimack Street
Lowell, MA 01852

Lianna Kushi, Director
100 Merrimack Street
Lowell, MA 01852

James D. Nolan, Director
100 Merrimack Street
Lowell, MA 01852

Matt Coggins, Director
100 Merrimack Street
Lowell, MA 01852

Carolyn Rolfe, Director
100 Merrimack Street
Lowell, MA 01852